

# 1 The Balanced Connected Subgraph Problem

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9 **Abstract.** The problem of computing induced subgraphs that satisfy  
10 some specified restrictions arises in various applications of graph algo-  
11 rithms and has been well studied. In this paper, we consider the follow-  
12 ing *Balanced Connected Subgraph (shortly, BCS)* problem. The input is  
13 a graph  $G = (V, E)$ , with each vertex in the set  $V$  having an assigned  
14 color, “red” or “blue”. We seek a maximum-cardinality subset  $V' \subseteq V$   
15 of vertices that is *color-balanced* (having exactly  $|V'|/2$  red nodes and  
16  $|V'|/2$  blue nodes), such that the subgraph induced by the vertex set  
17  $V'$  in  $G$  is connected. We show that the BCS problem is NP-hard, even  
18 for bipartite graphs  $G$  (with red/blue color assignment not necessarily  
19 being a proper 2-coloring). Further, we consider this problem for vari-  
20 ous classes of the input graph  $G$ , including, e.g., planar graphs, chordal  
21 graphs, trees, split graphs, bipartite graphs with a proper red/blue 2-  
22 coloring, and graphs with diameter 2. For each of these classes either we  
23 prove NP-hardness or design a polynomial time algorithm.

24 **Keywords:** Balanced connected subgraph · Trees · Split graphs · Chordal  
25 graphs · Planar graphs · Bipartite graphs · NP-hard · Color-balanced.

## 26 1 Introduction

27 Several problems in graph theory and combinatorial optimization involve de-  
28 termining if a given graph  $G$  has a subgraph with certain properties. Exam-  
29 ples include seeking paths, cycles, trees, dominating sets, cliques, vertex covers,  
30 matching, independent sets, bipartite subgraphs, etc. Related optimization prob-  
31 lems include finding a maximum clique, a maximum (connected) vertex cover, a  
32 maximum independent set, a minimum (connected) dominating set, etc. These  
33 well-studied problems have significant theoretical interest and many practical  
34 applications.

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35 In this paper, we consider the problem in which we are given a simple con-  
 36 nected graph  $G = (V, E)$  whose vertex set  $V$  has each node being “red” or “blue”  
 37 (note, the color assignment might not be a proper 2-coloring of the vertices, i.e.,  
 38 we allow nodes of the same color to be adjacent in  $G$ ). We seek a maximum-  
 39 cardinality subset  $V' \subseteq V$  of the nodes such that  $V'$  is *color-balanced*, i.e. having  
 40 same number of red and blue nodes in  $V'$ , and such that the induced subgraph  
 41  $H$  by  $V'$  in  $G$  is connected. We refer to this problem as the *Balanced Connected*  
 42 *Subgraph (BCS)* problem:

**Balanced Connected Subgraph (BCS) Problem**

**Input:** A graph  $G = (V, E)$ , with node set  $V = V_R \cup V_B$  partitioned into red nodes ( $V_R$ ) and blue nodes ( $V_B$ ).

**Goal:** Find a maximum-cardinality color-balanced subset  $V' \subseteq V$  that induces a connected subgraph  $H$ .

43 Notice that, the *BCS* problem is a special case of the *Maximum Node Weight*  
 44 *Connected Subgraph (MNWCS)* problem [21]. In the *MNWCS* problem, we are  
 45 given a connected graph  $G(V, E)$ , with a (possibly negative) integer weight  $w(v)$   
 46 associated with each node  $v \in V$ , and an integer bound  $B$ ; the objective is to  
 47 decide whether there exists a subset  $V' \subseteq V$  such that the subgraph induced  
 48 by  $V'$  is connected and the total weight of the vertices in  $V'$  is at least  $B$ . In  
 49 the *MNWCS* problem, if we assign the weight of each vertex is either  $+1$  (red)  
 50 or  $-1$  (blue), then deciding whether there exist a  $V' \subseteq V$  such that  $|V'| \geq k$ ,  
 51 subgraph induced by  $V'$  in  $G$  is connected and total of vertices in  $V'$  is exactly  
 52 zero is equivalent as the *BCS* problem. The *MNWCS* problem along with its  
 53 variations have numerous practical application in various fields. This includes  
 54 designing fiber-optic networks [18], oil-drilling [20], systems biology [3,13,25],  
 55 wildlife corridor design [12], computer vision [8,9], forest planning [7], and many  
 56 more (see [16] and the references therein). Some of these applications are best  
 57 suited to the *BCS* problem.

58 **1.1 Related work**

59 The bichromatic inputs, often referred in the literature as red-blue input, has ap-  
 60 peared extensively in numerous problems. For bipartite trees, see [1]. In [6,14,15]  
 61 colored points have been considered in the context of matching and partitioning  
 62 problem. For a detailed survey on geometric problems with red-blue points; see  
 63 [22]. In [2], Aichholzer et al. considered the balanced island problem and devised  
 64 polynomial algorithms for points considered on plane. From combinatorial side,  
 65 Balanchandran et al. [4] studied the problem of unbiased representatives in a set  
 66 of bicolourings. In this paper, they have mentioned the usefulness of the unbiased  
 67 representatives in drug testing. While the drugs are tested over a large popula-  
 68 tion, the effectiveness of a new drug is measured under various attributes e.g.,  
 69 weight, height, age etc. One would require to sample representative in certain  
 70 *balanced* manner. Kaneko et al. [23] considered the problem of balancing the

71 colored points on the line. Subsequently, Bereg et al. [5] studied the balanced  
 72 partitions of 3-colored geometric sets on the plane.

73 On the other hand, finding a certain type of subgraph in a graph is considered  
 74 to be a fundamental algorithmic question. In [17], Feige et al. studied the dense  
 75  $k$ -subgraph problem where given a graph  $G$  and a parameter  $k$ , the goal is to  
 76 find a set of  $k$  vertices with maximum average degree in the subgraph induced by  
 77 this set. From parameterized algorithms side, Crowston et al. [10] considered the  
 78 balanced subgraph problem. Kierstead et al. [24] studied the problem of finding  
 79 colorful induced subgraph in a properly colored graph. This led us to study the  
 80 balanced connected subgraph problem on graphs. In [11], Derhy and Picouleau  
 81 considered the problem of finding induced trees on both weighted and unweighted  
 82 graphs and obtained hardness and algorithmic results. They have studied some  
 83 particular classes of graphs like the bipartite graphs or the triangle-free graphs.  
 84 Moreover, they have considered the case where the number of prescribed vertices  
 85 is bounded.

## 86 1.2 Our contributions

87 In this paper, we consider the balanced connected subgraph problem on various  
 88 graph families and present several hardness and algorithmic results.

89 On the hardness side, in Section 2, we prove that the *BCS* problem is NP-  
 90 hard on general graphs, even for planar graphs, bipartite graphs (with a gen-  
 91 eral red/blue color assignment, not necessarily a proper 2-coloring), and chordal  
 92 graphs. Furthermore, we show that the existence of a balanced connected sub-  
 93 graph containing a specific vertex is NP-complete. In addition to that, we prove  
 94 that finding the maximum balanced path in a graph is NP-hard.

95 On the algorithmic side, in Section 3, we devise polynomial-time algorithms  
 96 for trees (in  $O(n^4)$  time), split graphs (in  $O(n^2)$  time), bipartite graphs with a  
 97 proper 2-coloring (in  $O(n^2)$  time), and graphs with diameter 2 (in  $O(n^2)$  time).  
 98 Here  $n$  is the number of vertices in the input graphs.

## 99 2 Hardness results

### 100 2.1 *BCS* problem

101 In this section we prove that the *BCS* problem is NP-hard for bipartite graph  
 102 with a general red/blue color assignment, not necessarily a proper 2-coloring.  
 103 We give a reduction from the *Exact-Cover-by-3-Sets (EC3Set)* problem [19]. In  
 104 this *EC3Set* problem, we are given a set  $U$  with  $3k$  elements and a collection  
 105  $S$  of  $m$  subsets of  $U$  such that each  $s_i \in S$  contains exactly 3 elements. The  
 106 objective is to find an exact cover for  $U$  (if exists), i.e., a sub-collection  $S' \subseteq S$   
 107 such that every element of  $U$  occurs in exactly one member of  $S'$ . During the  
 108 reduction, we generate an instance  $G = (R \cup B, E)$  of *BCS* problem from an  
 109 instance  $X(S, U)$  of the *EC3Set* problem as follows:

110 **Reduction:** For each set  $s_i \in S$ , we take a blue vertex  $s_i \in B$ . For each element  
 111  $u_j \in U$ , we take a red vertex  $u_j \in R$ . Now consider a set  $s_i \in S$  which contains

112 three elements  $u_\alpha, u_\beta$ , and  $u_\gamma$ , then we add 3 edges  $(s_i, u_\alpha)$ ,  $(s_i, u_\beta)$ , and  $(s_i, u_\gamma)$   
 113 in  $E$ . Additionally, we consider a path of  $5k$  blue vertices starting and ending  
 114 with vertices  $b_1$  and  $b_{5k}$  respectively. Similarly, we consider a path of  $3k$  red  
 115 vertices starting and ending with vertices  $r_1$  and  $r_{3k}$  respectively. We connect  
 116 these two paths by joining the vertices  $r_{3k}$  and  $b_1$  by an edge. Finally, we connect  
 117 each vertices  $s_i$  with  $b_{5k}$  by edges. This completes the construction. See Figure  
 118 1 for the complete construction.

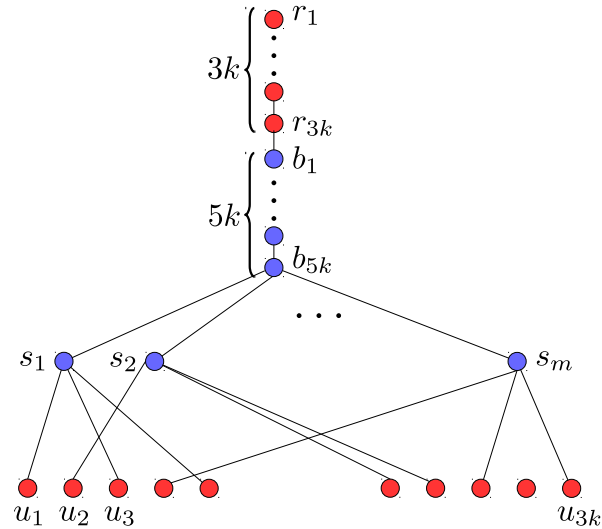


Fig. 1. Construction of the instance  $G$  of the BCS problem.

119 Clearly, the number of vertices and edges in  $G$  are polynomial in terms of  
 120 number of elements and sets in  $X$ . Hence the construction can be done in poly-  
 121 nomial time. We now prove the following theorem.

122 **Lemma 1.** *The instance  $X$  of the EC3Set problem has a solution if and only*  
 123 *if the instance  $G$  of the BCS problem has a connected balanced subgraph  $T$  with*  
 124  *$12k$  vertices ( $6k$  red and  $6k$  blue).*

125 *Proof.* Assume that EC3Set problem has a solution. Let  $S^*$  be an optimal solu-  
 126 tion in it. We choose the corresponding vertices of  $S^*$  in  $T$ . Since this solution  
 127 covers all  $u_j$ 's. So we select all  $u_j$ 's in  $T$ . Finally we select all the  $5k$  blue and  
 128  $3k$  red vertices in  $T$ , resulting in a total of  $6k$  red and  $6k$  blue vertices.

On the other hand, assume that there is a balanced tree  $T$  in  $G$  with  $6k$   
 vertices of each color. The solution must pick the  $5k$  blue vertices  $b_1, \dots, b_{5k}$ .  
 Otherwise, it exclude the  $3k$  red vertices  $r_1, \dots, r_{3k}$ , and reducing the size of  
 the solution. Since the graph  $G$  has at most  $6k$  red vertices, at most  $k$  vertices  
 can be picked from the set  $s_1, \dots, s_m$  and need to cover all the  $3k$  red vertices  
 corresponding to  $u_j$  for  $1 \leq j \leq 3k$ . Hence, this  $k$  sets give an exact cover.  $\square$

129 It is easy to see that the graph we constructed from the *Exact-Cover-by-3-*  
 130 *Sets (EC3Set)* problem in Figure 1 is indeed a bipartite graph. Hence we have  
 131 the following theorem.

132 **Theorem 1.** *BCS problem is NP-hard for bipartite graphs.*

## 133 2.2 NP-hardness: BCS problem over special classes of graphs

134 In this section, we show that the *BCS* problem is NP-hard even if we restrict  
 135 the graph classes to chordal, or planar graphs.

136 **Chordal graphs:** We prove that the *BCS* problem is NP-hard where the input  
 137 graph is a chordal graph. The hardness construction is similar to the construc-  
 138 tion in Section 2.1; we modify the construction so that the graph is chordal. In  
 139 particular, we add edges between  $s_i$  and  $s_j$  for each  $i \neq j, 1 \leq i, j \leq m$ . For  
 140 this modified graph, it is easy to see that a lemma identical to Lemma 1 holds.  
 141 Hence, we conclude that the *BCS* problem is NP-hard for chordal graphs.

142 **Planar graphs:** In this section we prove that *BCS* problem is NP-hard for  
 143 planar graphs. We give a reduction from the Steiner tree problem in planar  
 144 graphs (*STPG*) [19]. In this problem, we are given a planar graph  $G = (V, E)$ ,  
 145 a subset  $X \subseteq V$ , and a positive integer  $k \in \mathbb{N}$ . The objective is to find a tree  
 146  $T = (V', E')$  with at most  $k$  edges such that  $X \subseteq V'$ .

147 *Reduction:* We generate an instance  $H = (R \cup B, E(H))$  for the *BCS* problem  
 148 from an instance  $G = (V, E)$  of the *STPG* problem. We color all the vertices in  
 149  $G$  as blue. We now create red color vertices and connect to these vertices. For  
 150 each vertex  $u_i \in X$ , we add a vertex  $u'_i$  in  $H$  whose color is red add connect  $u'_i$   
 151 to  $u_i$  via an edge. Additionally, we take a set  $Z$  of  $(k+1 - |X|)$  red vertices in  $H$   
 152 and the edges  $(z_j, u'_1)$  into  $E(H)$ , for each  $z_j \in Z$ . Hence we have,  $B = V$ , and  
 153  $R = Z \cup \{u'_i \mid 1 \leq i \leq |X|\}$ . Note that  $|R| < |B|$  and  $|R| = (k+1)$ . This completes  
 154 the construction. For an illustration see Figure 2. Clearly the number of vertices  
 155 and edges in  $H$  are polynomial in terms of vertices in  $G$ . Hence the construction  
 156 can be done in polynomial time. We now prove the following theorem.

157 **Theorem 2.** *STPG has a solution if and only if  $H$  of the BCS problem has a*  
 158 *balanced connected subgraph with  $(k+1)$  vertices of each color.*

159 *Proof.* Assume that *STPG* has a solution. Let  $T = (V', E')$  be the resulting  
 160 Steiner tree which contains at most  $k$  edges and  $X \subseteq V'$ . If  $|V'| = (k+1)$  then  
 161 the subgraph of  $H$  induced by  $(V' \cup R)$  is connected and balanced with  $(k+1)$   
 162 vertices of each color. If  $|V'| < (k+1)$  then we take a set  $Y$  of  $((k+1) - |V'|)$  many  
 163 vertices from  $V$  such that the subgraph of  $G$  induced by  $(V' \cup Y)$  is connected.  
 164 Clearly  $|V'| = (k+1)$ . Now the subgraph of  $H$  induced by  $(V' \cup Y \cup R)$  is  
 165 connected and balanced with  $(k+1)$  vertices of each red and blue color.

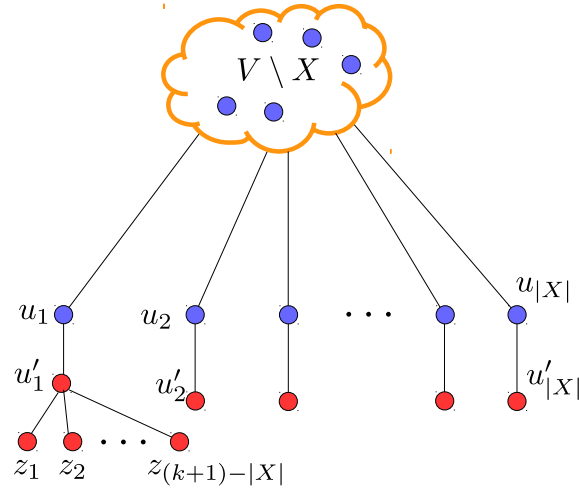


Fig. 2. Schematic construction for planar graphs.

On the other hand, assume that there is a balanced connected subgraph  $H'$  of  $H$  with  $(k + 1)$  vertices of each color. Note that, except vertex  $u'_1$ , in  $H$  all the red vertices are of degree 1 and connected to blue vertices. Let  $G'$  be the subgraph of  $G$  induced by all blue vertices in  $H'$ . Since  $H$  is connected and there is no edge between any two red vertices,  $G'$  is connected. Since  $G'$  contains  $(k + 1)$  vertices, any spanning tree  $T$  of  $H'$  contains  $k$  edges. So  $T$  is a solution of *STPG* problem.  $\square$

166 Hence we have the following theorem.

167 **Theorem 3.** *BCS problem is NP-hard for planar graphs.*

### 168 2.3 NP-completeness for *BCS* problem for a specific vertex.

169 In this section we prove that the existence of a balanced subgraph containing a  
 170 specific vertex is NP-complete. We call this problem the *BCS*-existence problem.  
 171 The reduction is similar to the reduction used in showing the NP-hardness of  
 172 the *BCS* problem; we also use here a reduction from the *EC3Set* problem (see  
 173 Section 2.1 for the definition).

174 **Reduction:** Assume that we are given a *EC3Set* problem instance  $X = (U, S)$ ,  
 175 where set  $U$  contains  $3k$  elements and a collection  $S$  of  $m$  subsets of  $U$  such that  
 176 each  $s_i \in S$  contains exactly 3 elements. We generate an instance  $G(R, B, E)$   
 177 of the *BCS*-existence problem from  $X$  as follows. The red vertices  $R$  are the  
 178 elements  $u_j \in U$ ; i.e.,  $R = U$ . The blue vertices  $B$  are the 3-element sets  $s_i \in S$ ;  
 179 i.e.,  $B = S$ . For each blue vertex  $s_i = \{u_\alpha, u_\beta, u_\gamma\} \in S = B$ , we add the 3  
 180 edges  $(s_i, u_\alpha)$ ,  $(s_i, u_\beta)$ , and  $(s_i, u_\gamma)$  to the set  $E$  of edges of  $G$ . We instantiate an  
 181 additional set of  $2k$  blue vertices,  $\{b_1, \dots, b_{2k}\}$ , and add edges to  $E$  to link them

182 into a path  $(b_1, b_2, \dots, b_{2k})$ . Finally, we add an edge from  $b_{2k}$  to each of the blue  
 183 vertices  $s_i$ . Refer to Figure 3.

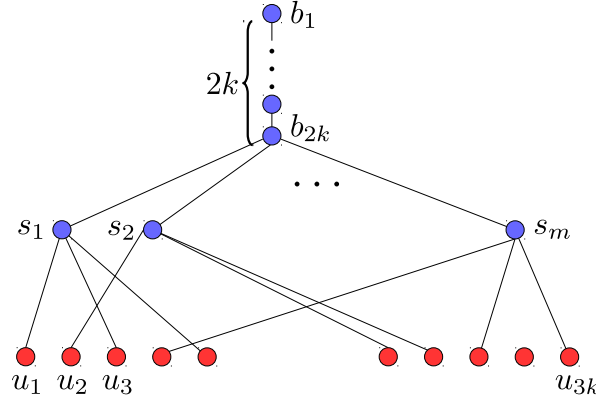


Fig. 3. Construction of the instance  $G$  of the  $BCS$  problem containing  $b_1$ .

184 Clearly, the number of vertices and edges in  $G$  are polynomial in terms of  
 185 number of elements and sets in the size of the  $EC3Set$  problem instance  $X$ , and  
 186 the construction can be done in polynomial time. We now prove the following  
 187 lemma.

188 **Lemma 2.** *The instance  $X$  of the  $EC3Set$  problem has a solution iff the in-*  
 189 *stance  $G$  of the corresponding  $BCS$  existence problem has a balanced subgraph  $T$*   
 190 *containing the vertex  $b_1$ .*

191 *Proof.* Assume that the  $EC3Set$  problem has a solution, and let  $S^*$  be the collec-  
 192 tion of  $k = |S^*|$  sets of  $S$  in the solution. Then, we obtain a balanced subgraph  
 193  $T$  that contains  $b_1$  as follows:  $T$  is the induced subgraph of the  $3k$  red vertices  
 194  $U$ , together with the  $k$  blue vertices  $S^*$  and the  $2k$  blue vertices  $b_1, \dots, b_{2k}$ . Note  
 195 that  $T$  is balanced and connected and contains  $b_1$ .

Conversely, assume there is a balanced connected subgraph  $T$  containing  $b_1$ . Let  $t$  be the number of (blue) vertices of  $S$  within  $T$ . First, note that  $t \leq k$ . (Since  $T$  is balanced and contains at most  $3k$  red vertices, it must contain at most  $3k$  blue vertices,  $2k$  of which must be  $\{b_1, \dots, b_{2k}\}$ , in order that  $T$  is connected.) Next, we claim that, in fact,  $t \geq k$ . To see this, note that each of the  $t$  blue vertices of  $T$  that corresponds to a set in  $S$  is connected by edges to 3 red vertices; thus,  $T$  has at most  $3t$  red vertices. Now,  $T$  has  $2k+t$  blue vertices (since it has  $t$  vertices other than the path  $(b_1, \dots, b_{2k})$ ), and  $T$  is balanced; thus,  $T$  has exactly  $2k+t$  red vertices, and we conclude that  $2k+t \leq 3t$ , implying  $k \leq t$ , as claimed. Therefore, we need to select exactly  $k$  blue vertices corresponding to the sets  $S$ , and these vertices connect to all  $3k$  of the red vertices. The  $k$  sets corresponding to these  $k$  blue vertices is a solution for the  $EC3Set$  problem.  $\square$

196 It is easy to prove that the *BCS* existence problem is in NP. Hence, we have  
 197 the following theorem.

198 **Theorem 4.** *It is NP-complete to decide if there exists a connected balanced*  
 199 *subgraph that contains a specific vertex.*

## 200 2.4 NP-hardness: balanced connected path problem

201 In this section we consider the balanced connected path (*BCP*) Problem and  
 202 prove that it is NP-hard. In this problem instead of finding a balanced connected  
 203 subgraph, our goal is to find a balanced path with a maximum cardinality of  
 204 vertices. To prove the *BCP* problem is NP-hard we give a polynomial time  
 205 reduction from the *Hamiltonian Path (Ham-Path)* problem which is known to  
 206 be NP-complete [19]. In this problem, we are given an undirected graph  $Q$ , and  
 207 the goal is to find a Hamiltonian path in  $Q$  i.e., a path which visits every vertex in  
 208  $Q$  exactly once. In the reduction we generate an instance  $G$  of the *BCP* problem  
 209 from an instance  $Q$  of the *Ham-Path* problem as follows:

210 **Reduction:** We make a new graph  $Q'$  from  $Q$ . Let us assume that the graph  
 211  $Q$  contains  $m$  vertices. If  $m$  is even then  $Q' = Q$ . If  $m$  is odd, then we add a  
 212 dummy vertex  $u$  in  $Q$  and connect to every other vertices in  $Q$  by edges with  
 213  $u$ . The resulting graph is our desired  $Q'$ . It is easy to observe that,  $Q$  has a  
 214 Hamiltonian path if and only if  $Q'$  has a Hamiltonian path.

215 Now we have a *Ham-Path* instance  $Q'$  with even number of vertices, say  $n$ .  
 216 We arbitrary choose any  $n/2$  vertices in  $Q'$  and color them red and color the  
 217 remaining  $n/2$  vertices blue. Let  $G$  be the colored graph.

218 This completes the construction. Clearly, this can be done in polynomial  
 219 time. We now have the following lemma.

220 **Lemma 3.**  *$Q'$  has a Hamiltonian path  $T$  if and only if  $G$  has a balanced path*  
 221  *$P$  with exactly  $n$  vertices.*

222 *Proof.* Assume that  $Q'$  has a Hamiltonian path  $T$ . This implies that,  $T$  visits  
 223 every vertex in  $Q'$ . Since by the construction there are exactly half of the vertices  
 224 in  $G$  is red and remaining are blue, the same path  $T$  is balanced with  $n/2$  vertices  
 225 of each color.

On the other hand, assume that there is a balanced path  $P$  in  $G$  with exactly  
 $n/2$  vertices of each color. Since,  $G$  has a total of  $n$  vertices, the path  $P$  visits  
 every vertex in  $G$ . Hence,  $P$  is a Hamiltonian path.  $\square$

226 Therefore, we have the following theorem.

227 **Theorem 5.** **BCP* problem is NP-hard for general graph.*

## 228 3 Algorithmic results

229 In this section, we consider several graph families and devise polynomial time  
 230 algorithms for the *BCS* problem. Notice that, if the graph is a path or cycle, the

231 optimal solution is just a path. Hence, one can do brute-force search to obtain the  
 232 maximum balanced path. In case of a complete graph  $K_n$ , we output a sub-graph  
 233  $H$  of  $K_n$  induced by  $V$ , where  $|V| = 2|B|$ ,  $B \subset V$ , and  $B$  is the set of all blue  
 234 vertices in  $K_n$  (assuming that, the number of blue vertices is at most the number  
 235 of red vertices in  $K_n$ ). Clearly,  $H$  is the maximum-cardinality balanced sub-graph  
 236 in  $K_n$ . We consider trees, split graphs, bipartite graphs (properly colored), graphs  
 237 of diameter 2, and present polynomial algorithms for each of them.

### 238 3.1 Trees

239 In this section we give a polynomial time algorithm for the *BCS* problem where  
 240 the input graph is a tree. We first consider the following problem.

241 **Problem 1:** Given a tree  $T = (V, E)$ , and a root  $t \in V$  where  $V = V_R \cup V_B$ .  
 242 The vertices in  $V_R$  and  $V_B$  are colored red and blue, respectively. The objective  
 243 is to find maximum balanced tree with root  $t$ .

244 We now design an algorithm to solve this problem. Let  $v$  be a vertex in  $G$ .  
 245 We associate a set  $P_v$  of *pairs* of the form  $(r, b)$  to  $v$ , where  $r$  is the count of red  
 246 vertices and  $b$  is the count of blue vertices. A single pair  $(r, b)$  associated with  
 247 vertex  $v$  indicates that there is a subtree rooted at  $v$  having  $r$  red and  $b$  blue  
 248 vertices. Note that  $r$  may not be equal to  $b$ . Now for any  $k$  pairs, the sum is also a  
 249 pair which is defined as the element-wise sum of these  $k$  pairs. Let  $A_1, A_2, \dots, A_k$   
 250 be  $k$  sets. The Minkowski sum  $\sum_{i=1}^k A_i$  denotes the set of sums of  $k$  elements  
 251 one from each set  $A_i$  i.e.,  $\sum_{i=1}^k A_i = A_1 \oplus A_2 \oplus \dots \oplus A_k$ . We use  $\oplus$  to denote  
 252 Minkowski sum between sets. For example, for the Minkowski sum of the sets  $A$   
 253 and  $B$ , we write  $A \oplus B$  and it means  $A \oplus B = \{a + b: a \in A, b \in B\}$ .

254 Now we are ready to describe the algorithm to solve Problem 1. In Algorithm  
 255 1, we describe how to get maximum balanced subtree with root  $t$  for a tree  $T$   
 256 rooted at  $t$ .

---

**Algorithm 1:** Construct red-blue pair-sets in a rooted tree.

---

**Input** : (i) A rooted tree  $T = (B \cup R, E)$  with root  $t$ .  
 (ii)  $B$  and  $R$  are colored blue and red respectively.

**Output:** A set of pairs at each node in  $T$ .

```

257 1 if  $v$  is a leaf with red color then
2   |    $P_v = \{(0, 0), (1, 0)\}$ ;
3 if  $v$  is a leaf with blue color then
4   |    $P_v = \{(0, 0), (0, 1)\}$ ;
5 if  $v$  be a vertex with red color and  $v$  has  $k$  children  $u_1, u_2, \dots, u_k$  in  $T$ 
   with root at  $r$ , then
6   |    $P_v = \{(0, 0)\} \cup \{\sum_{i=1}^k P_{u_i} \oplus \{(1, 0)\}\}$ ;
7 if  $v$  be a vertex with blue color and  $v$  has  $k$  children  $u_1, u_2, \dots, u_k$  in  $T$ 
   with root at  $r$ , then
8   |    $P_v = \{(0, 0)\} \cup \{\sum_{i=1}^k P_{u_i} \oplus \{(0, 1)\}\}$ ; // Here  $\oplus$  denotes
   Minkowski Set sum.
9 return  $P_t$ 
    
```

---

258 In Algorithm 1 we compute a finite set  $P_t$  of pairs  $\{(r, b)\}$  at the root  $t$  in  $T$ .  
 259 To do so, we recursively calculate the set of pairs from leaf to the root. For an  
 260 internal vertex  $v$ , the set  $P_v$  is calculated as follows: let the color of  $v$  is red and  
 261 it has  $k$  children  $u_1, u_2, \dots, u_k$ . Then,  $P_v = \{(0, 0)\} \cup \{^M \sum_{i=1}^k P_{u_i} \oplus \{(1, 0)\}\}$ .

262 We now prove the following lemma.

263 **Lemma 4.** *Let  $T$  be rooted tree with  $t$  as a root. Then Algorithm 1 produces all*  
 264 *possible balanced subtree rooted at  $t$  in  $O(n^6)$  time.*

265 *Proof.* Notice that in Algorithm 1, at each node  $v \in T$ , we store a set  $P_v$  of pairs  
 266  $\{(r_i, b_i)\}$ , where each  $(r_i, b_i)$  indicates that there exists a subtree  $T'$  with root  
 267  $v$  such that number of red and blue vertices in  $T'$  are  $r_i$  and  $b_i$ , respectively.  
 268 Note that  $r_i$  may not be same as  $b_i$ . When we construct the set  $P_v$ , all the sets  
 269 corresponding to its children are already calculated. Finally, in steps 6 and 8  
 270 of Algorithm 1 we calculate the set  $P_v$  based on the color of  $v$ . Hence, when  
 271 Algorithm 1 terminates, we get the set  $P_t$  where  $t$  is the root of  $T$ .

Now we calculate the time taken by Algorithm 1. Clearly, steps 2 and 4 take  
 $O(1)$  time to construct the  $p_v$  when  $v$  is a leaf. Note that, the size of  $P_v$ , for an  
 internal node  $v$  is  $O(n^2)$ . Since there are at most  $n$  blue and red vertices in the  
 subtree rooted at  $v$ . If  $v$  has  $k$  children then we have to take Minkowski sum  
 of the sets corresponds to the children of  $v$ . To get the sum of two sets it takes  
 $O(n^4)$  time. As there are at most  $n$  children of node  $v$ , so the time taken by  
 steps 6 and 8 are  $O(n^5)$ . Finally, we traverse the tree from bottom to the root.  
 Hence, the total time taken by the algorithm is  $O(n^6)$ .  $\square$

272 We can now improve the time complexity by slightly modifying the Algorithm  
 273 1. For an internal vertex  $v$ , we actually don't need all the pairs to get the  
 274 maximum balanced subtree. Suppose there are two pairs  $(a, b)$  and  $(c, d)$  in  $P_v$ ,  
 275 where  $(b - a) = (d - c)$  and  $a < c$ . Then, instead of using the subtree with pair  
 276  $(a, b)$ , it is better to use the subtree with pair  $(c, d)$ , since it may help to construct  
 277 a larger balance subtree. Therefore, in a set  $P_v$  if there are  $k$  pairs  $\{(a_i, b_i); 1 \leq$   
 278  $i \leq k\}$  such that  $(b_i - a_i) = (b_j - a_j)$  whenever  $i \neq j, 1 \leq i, j \leq k$ . Then we  
 279 remove the  $(k - 1)$  pairs and store only the pair which is largest among all these  $k$   
 280 pairs. We say  $(a_m, b_m)$  is largest when  $a_m > a_i$  and  $b_m > b_i$  for  $1 \leq i \leq k, i \neq m$ .  
 281 So we reduce the size of  $P_v$  for each vertex  $v \in T$  from  $O(n^2)$  to  $O(n)$ . Let  $T(n)$   
 282 be the time to compute red-blue pairset for the root vertex  $t$  in the tree  $T$  with  
 283 size  $n$ . If  $r$  has  $k$  children  $u_1, u_2, \dots, u_k$  with size  $n_1, n_2, \dots, n_k$ . Then the recurrence  
 284 is  $T(n) = T(n_1) + T(n_2) + \dots + T(n_k) + O(\sum_{i=1}^{k-1} (n_1 + n_2 + \dots + n_i)n_{i+1})$ . Now  
 285  $\sum_{i=1}^{k-1} (n_1 + n_2 + \dots + n_i)n_{i+1} \leq \sum_{i=1}^{k-1} nn_{i+1} = n \sum_{i=1}^{k-1} n_{i+1} \leq n^2$ . which gives the  
 286 solution that  $T(n) = O(n^3)$ .

287 Hence, we conclude the following lemma.

288 **Lemma 5.** *Let  $T$  be rooted tree with  $t$  as a root. We can produces all possible*  
 289 *balanced subtree rooted at  $t$  in  $O(n^3)$  time and  $O(n^2)$  space complexity.*

## 290 Optimal solution for BCS problem in tree

291 If there are  $n$  nodes in the tree  $T$ , then, for each node  $v_i, 1 \leq i \leq n$ , we consider  $T$   
 292 to be a tree rooted at  $v_i$ . We then apply Algorithm 1 to find maximum-cardinality

293 balanced subtree rooted at  $v_i$ ; let  $T_i$  be the resulting balanced subtree, having  $m_i$   
 294 vertices of each color. Then, to obtain an optimal solution for the *BCST* problem  
 295 in  $T$  we choose a balanced subtree that has  $\max\{m_i; 1 \leq i \leq n\}$  vertices of each  
 296 color. Now we can state the following theorem.

297 **Theorem 6.** *Let  $T$  be a tree whose  $n$  vertices are colored either red or blue.*  
 298 *Then, in  $O(n^4)$  time and  $O(n^2)$  space, one can compute a maximum-cardinality*  
 299 *balanced subtree of  $T$ .*

### 300 3.2 Split graphs

301 A graph  $G = (V, E)$  is defined to be a split graph if there is a partition of  $V$   
 302 into two sets  $S$  and  $K$  such that  $S$  is an independent set and  $K$  is a complete  
 303 graph. There is no restriction on edges between vertices of  $S$  and  $K$ . Here we  
 304 give a polynomial time algorithm for the *BCS* problem where the input graph  
 305  $G = (V, E)$  is a split graph. Let  $S$  and  $K$  be two disjoint partition of  $V$  where  
 306  $S$  is an independent set and  $K$  is a complete graph. Also, let  $S_B$  and  $S_R$  be  
 307 the sets of blue and red vertices in  $S$ , respectively. Similarly, let  $K_B$  and  $K_R$  be  
 308 the sets of blue and red vertices in  $K$ , respectively. We argue that there exists a  
 309 balanced connected subgraph in  $G$ , having  $\min\{|S_B \cup K_B|, |S_R \cup K_R|\}$  vertices  
 310 of each color.

311 Note that if  $|S_B \cup K_B| = |S_R \cup K_R|$  then  $G$  itself is balanced. Now, w.l.o.g.,  
 312 we can assume that  $|S_B \cup K_B| < |S_R \cup K_R|$ . We will find a connected balanced  
 313 subgraph  $H$  of  $G$ , where the number of vertices in  $H$  is exactly  $2|S_B \cup K_B|$ . To  
 314 do so, we first modify the graph  $G = (V, E)$  to a graph  $G' = (V, E')$ . Then, from  
 315  $G'$ , we will find the desired balanced subgraph with  $|S_B \cup K_B|$  many vertices of  
 316 each color. Moreover, this process is done in two steps.

317 **Step 1:** Construct  $G' = (V, E')$  from  $G = (V, E)$ .

318 For each  $u \in S_B$ , if  $u$  is adjacent to at least a vertex  $u'$  in  $K_R$ , then remove  
 319 all adjacent edges with  $u$  except the edge  $(u, u')$ . Similarly, for each  $v \in S_R$ ,  
 320 if  $v$  is adjacent to at least a vertex  $v'$  in  $K_B$ , then remove all adjacent edges  
 321 with  $v$  except the edge  $(v, v')$ .

322 **Step 2:** Delete  $|S_R \cup K_R| - |S_B \cup K_B|$  vertices from  $G'$ .

323 Let  $k = |S_R \cup K_R| - |S_B \cup K_B|$ . Now we we have following cases.

324 **Case 1:**  $|S_R| \geq k$ . We remove  $k$  vertices from  $S_R$  in  $G'$ . Clearly, after this  
 325 modification,  $G'$  is connected, and we get a balanced subgraph having  
 326  $|S_B \cup K_B|$  vertices of each color.

327 **Case 2:**  $|S_R| < k$ . Then we know,  $|K_R| > |K_B \cup S_B|$ . Let  $S'_B \subseteq S_B$  be the  
 328 set of vertices in  $G'$  such that each vertex of  $S'_B$  has exactly one neighbor  
 329 in  $K_R$ . Then, we take a set  $X \subset K_R$  with cardinality  $|K_B \cup S_B|$  such  
 330 that  $X$  contains all adjacent vertices of  $S'_B$ . Now we take the subgraph  
 331  $H$  of  $G'$  induced by  $(S_B \cup K_B \cup X)$ .  $H$  is optimal and balanced.

332 **Running time:** Step 1 takes  $O(|E|)$  time to construct  $G'$  from  $G$ . Now in step 2,  
 333 both Case 1 and Case 2 take  $O(|V|)$  time to delete  $|S_R \cup K_R| - |S_B \cup K_B|$  vertices

334 from  $G'$ . Hence, the total time taken is  $O(n^2)$ , where  $n$  is the number of vertices  
 335 in  $G$ . We conclude in the following theorem.

336 **Theorem 7.** *Given a split graph  $G$  of  $n$  vertices, with  $r$  red and  $b$  blue ( $n = r+b$ )  
 337 vertices, then, in  $O(n^2)$  time we can find a balanced connected subgraph of  $G$   
 338 having  $\min\{b, r\}$  vertices of each color.*

### 339 3.3 Bipartite graphs, properly colored

340 In this section, we describe a polynomial-time algorithm for the *BCS* problem  
 341 where the input graph is a bipartite graph whose nodes are colored red/blue  
 342 according to proper 2-coloring of vertices in a graph. We show that there is a  
 343 balanced connected subgraph of  $G$  having  $\min\{b, r\}$  vertices of each color where  
 344  $G$  contains  $r$  red vertices and  $b$  blue vertices. Note that we earlier showed that  
 345 the *BCS* problem is NP-hard in bipartite graphs whose vertices are colored  
 346 red/blue arbitrarily; here, we insist on the coloring being a proper coloring (the  
 347 construction in the hardness proof had adjacent pairs of vertices of the same  
 348 color). We begin with the following lemma.

349 **Lemma 6.** *Consider a tree  $T$  (which is necessarily bipartite) and a proper 2-  
 350 coloring of its nodes, with  $r$  red nodes and  $b$  blue nodes. If  $r < b$ , then  $T$  has at  
 351 least one blue leaf.*

*Proof.* We prove it by contradiction. Let there is no blue leaf. Now assign any  
 blue node say  $b_r$  as a root. Note that it always exists. Now  $b_r$  is at level 0 and  
 $b_r$  has degree at least 2. Otherwise,  $b_r$  is a leaf with blue color. We put all the  
 adjacent vertices of  $b_r$  in level 1. This level consists of only red vertices. In level 2  
 we put all the adjacent vertices of level 1. So level 2 consists of only blue vertices.  
 This way we traverse all the vertices in  $T$  and let that we stop at  $k^{\text{th}}$ -level.  $k$   
 cannot be even as all the vertices in even level are blue. So  $k$  must be odd. Now  
 for each  $0 \leq i \leq \frac{k-1}{2}$ , in the vertices of (level  $2i \cup$  level  $(2i+1)$ ), number of blue  
 vertices is at most the number of red vertices. Which leads to the contradiction  
 that  $r < b$ . Hence there exists at least one leaf with blue color.  $\square$

352 We are now ready to describe the algorithm. We first find a spanning tree  $T$   
 353 in  $G$ . If  $r = b$  then  $T$  itself is a maximum balanced subtree (subgraph also) of  
 354  $G$ . Without loss of generality assume that  $r < b$ . So by Lemma 6,  $T$  has at least  
 355 1 blue vertex. Now we remove that blue vertex from  $T$ . Using similar reason, we  
 356 repetitively remove  $(b - r)$  blue vertices from  $T$ . Finally,  $T$  becomes balanced  
 357 subgraph of  $G$ , with  $r$  many vertices of each color.

358 **Running time:** Finding a spanning tree in  $G$  requires  $O(n^2)$  time. To find all  
 359 the leaves in the tree  $T$  requires  $O(n^2)$  time (breadth first search). Hence the  
 360 total time is needed is  $O(n^2)$ .

361 Now, we state the following theorem.

362 **Theorem 8.** *Given a bipartite graph  $G$  with a proper 2 coloring ( $r$  red or  $b$  blue  
 363 vertices), then in  $O(n^2)$  time we can find a balanced connected subgraph in  $G$   
 364 having  $\min\{b, r\}$  vertices of each color.*

### 3.4 Graphs of diameter 2

In this section, we give a polynomial time algorithm which solves the *BCS*-problem where the input graph has diameter 2. Let  $G(V, E)$  be such a graph which contains  $b$  blue vertex set  $B$  and  $r$  red vertex set  $R$ . We find a balanced connected subgraph  $H$  of  $G$  having  $\min\{b, r\}$  vertices of each color. Assume that  $b < r$ . This can be done in two phases. In phase 1, we generate an induced connected subgraph  $G'$  of  $G$  such that (i)  $G'$  contains all the vertices in  $B$ , and (ii) the number of vertices in  $G'$  is at most  $(2b - 1)$ . In phase 2, we find  $H$  from  $G'$ .

**Phase 1** To generate  $G'$ , we use the following result.

**Lemma 7.** *Let  $G = (V, E)$  be a graph of diameter 2. Then for any pair of non adjacent vertices  $u$  and  $v$  from  $G$ , there always exists a vertex  $w$  such that both  $(u, w) \in E$  and  $(v, w) \in E$ .*

We first include  $B$  in  $G'$ . Now we have the following two cases.

**Case 1:** The induced subgraph  $G[B]$  of  $B$  is connected. In this case,  $G'$  is  $G[B]$ .

**Case 2:** The induced subgraph  $G[B]$  of  $B$  is not connected. Assume that  $G[B]$  has  $k(> 1)$  components. Let  $B_1, B_2, \dots, B_k$  be  $k$  disjoint sets of vertices such that each induced subgraph  $G[B_i]$  of  $B_i$  in  $G$  is connected. Now using Lemma 7, any two vertices  $v_i \in B_i$  and  $v_j \in B_j$  are adjacent to a vertex say  $u_\ell \in R$ . We repetitively apply Lemma 7 to merge all the  $k$  subgraphs into a larger graph. We need at most  $(k - 1)$  red vertices to merge  $k$  subgraphs. We take this larger graph as the graph  $G'$ .

**Phase 2** In this phase, we find the balanced connected subgraph  $H$  with  $b$  vertices of each color. Note that the graph  $G'$  generated in phase 1 contains  $b$  blue and at most  $(b - 1)$  red vertices. Assume that  $G'$  contains  $b'$  red vertices. We add  $(b - b')$  red vertices from  $G \setminus G'$  to  $G'$ . This is possible since  $G$  is connected.

**Running time:** In phase 1, first finding all the blue vertices and its induced subgraph takes  $O(n^2)$  time. Now to merge all the  $k$  components into a single component which is  $G'$  needs  $O(n^2)$  time. In phase 2, adding  $(b - b')$  red vertices to  $G'$  takes  $O(n^2)$  time as well. Hence, total time requirement is  $O(n^2)$ .

**Theorem 9.** *Given a graph  $G = (V, E)$  of diameter 2, where the vertices in  $G$  are colored either red or blue. If  $G$  has  $b$  blue and  $r$  red vertices then, in  $O(n^2)$  time we can find a balanced connected subgraph in  $G$  having  $\min\{b, r\}$  vertices of each color.*

## 4 Conclusions and open questions

We have introduced the problem of finding largest size (cardinality of the vertex set) balanced connected subgraph in a simple connected graph. We have seen

404 that this problem is NP-complete for bipartite graphs, chordal graphs, or planar  
 405 graph. We have given polynomial time algorithms for solving this problem for  
 406 trees, graphs with proper 2 coloring, split graphs and graphs with diameter 2.  
 407 So the obvious question is can other special classes of graphs be found to yield  
 408 polynomial time algorithms? For example, outer planar graphs, interval graphs,  
 409 regular graphs, permutation graphs etc. Here we give another open question. Let  
 410  $G$  be a given graph and  $OPT$  be the number of vertices in an optimal solution  
 411 of  $BCS$  problem. Is there any polynomial time  $(\alpha, \beta)$  approximation algorithm  
 412 which yields a solution  $H$  such that minimum number of blue and red vertices  
 413 in  $H$  is at most  $\alpha \times OPT$  and difference between the number of blue and red  
 414 vertices in  $H$  is at most  $\beta$ ?

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