

Code Based Cryptography

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- ③ Anonymous Signatures
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Section Outline

- 1 CRRao AIMSCS
 - CRRao AIMSCS
 - Current Funding Agencies
 - Current Projects
 - Other Projects

CRRao AIMSCS

- An autonomous institute of higher learning and research
- Mainly funded by projects from the Government of India
- Conduct research in Mathematics, Statistics, Computer Science and convergence of these areas
- Provide consultancy, project services to government and non-government organizations, the industry, the corporate and private sector.

Current Funding Agencies

- Ministry of Statistics and Program Implementation (MoSPI)
- Department of Science and Technology (DST)
- Centre for Mathematical Studies and Analysis (CMSA)
- Defence Research and Development Organization (DRDO)
- Cabinet Secretariat (CSO)

Current Projects

- (CMSA)
 - Design of Proprietary Block Cipher
 - Design of Proprietary Stream Cipher
 - System Engineering to Prevent Side-Channel Cryptanalysis
 - Development of Test Suite for Analysis of Cipher Strength
 - Secret Sharing

- (DRDO-SAG)
 - Post-Quantum cryptology
 - Software Tools for SMT Solvers Based Cryptanalysis
 - SAT Solvers based Cryptanalysis
 - Lattice based Cryptography and Cryptanalysis

Projects in Discussions

- Secret Sharing Schemes
- Discrete-Log Problems
- Digital Signatures using Asymmetric Pairings
- Mechanics of Electromagnetic Radiation (Side Channel Cryptanalysis)
- Design of a 128-bit Block Cipher

Other Projects

- High-Performance Computing Toolkit for Algebraic Cryptanalysis
- Secure Operating System for Distributed Secure Transactions
- Design of Cryptographic Boolean Functions
- Lightweight Secure Cryptosystems based on Finite Automata
- Application of Artificial Neural Networks to Cryptanalysis

Section Outline

- 2 Vishal Saraswat
 - Professional Experience
 - Education
 - Research Interests
 - Projects at AIMSCS
 - Some other projects

Professional Experience

- **Assistant Professor**, C.R.Rao Advanced Institute of Mathematics, Statistics and Computer Science (AIMSCS), Hyderabad, India
- **External Faculty**, Indian Institute of Technology, Hyderabad (IITH)
- **Honorary Lecturer**, School of Physics, University of Hyderabad
- **Lecturer/TA**, University of Minnesota (UMN), Minneapolis, MN, USA
- **Mentor**, Interdisciplinary Research Experience for Undergraduates, Institute of Mathematics and its Applications (IMA), UMN
- **Research Assistant**, Intelligent Storage Consortium, Digital Technology Center (DTC), UMN
- **Research Visitor**, Center for Discrete Mathematics and Theoretical Computer Science (DIMACS), Rutgers University, Piscataway, NJ
- **Research Fellow**, Minnesota Center for Industrial Mathematics (MCIM), UMN
- **Research Scholar**, Tata Institute of Fundamental Research (TIFR), Bombay

Education

- **Ph.D.**, University of Minnesota, Minneapolis-MN, USA (UMN)
 - Topic: **Anonymity and Privacy in Public Key Cryptography**
 - Advisor: **Professor Andrew M. Odlyzko**
- **M.S. – Computer Science**, UMN
- **M.S. – Mathematics**, UMN
- **P.G.Certificate in Statistical Methods and Applications**,
Indian Statistical Institute, Calcutta
- **B.Sc. – Mathematics**,
St. Xavier's College, University of Calcutta, Calcutta

Research Interests

- Number Theory
- Information Security
- Cryptography and Cryptanalysis
- Anonymity and Privacy
- Post Quantum Crypto

Research Interests

- Sieve Methods
 - Number Field Sieve
 - Function Field Sieve
- Generic Ring Algorithms
 - To analyze various public key cyptosystems
- Lattices
 - Distribution of invertible polynomials in $\mathbb{Z}_p[X]/g\mathbb{Z}_p[X]$
- Error Correcting Codes
 - Compact keys for McEliece Cryptosystem
- Lattices vs. Error Correcting Codes

Projects at AIMSCS

- Design of an indigenous block cipher
- Post Quantum Crypto
- Secret Sharing
- Discrete Log Computation
- Side Channel Cryptanalysis
- Lattice Based Cryptanalysis
 - using lattice reduction methods to attack various cryptosystems

Some other projects

- Secure and Efficient Long Term Data Management
 - Guarantee confidentiality, integrity and real-time global availability of large amounts data for a long period of time.
- Secure and Efficient Large Scale Key Management
 - Incorporate efficient, scalable and dependable key management into the file system so that it is transparent from the users.
- Applied Remote Cache-timing Attacks against AES [1]
 - To **remotely** recover the AES encryption key of a target server.

Section Outline

- 3 Anonymous Signatures
 - Problem Scenario

Anonymous Signatures

- Anonymous Auctions
 - A minister wants to bid for an IPL cricket team
BUT wants to remain anonymous unless he wins the auction.
- Anonymous Paper Review
 - A professor wants to publish the proof of $P = NP$
BUT wants to remain anonymous unless the paper is accepted.
- Anonymous Authentication Protocols
 - Boyd and Park key transport protocol:
 $A \rightarrow B : PKE_B(ID_A, \sigma, count)$
 $A \leftarrow B : Enc_\sigma(count, r_B)$
 $A \rightarrow B : Sig_A(ID_B, h(count, \sigma, r_B))$
- anonymous credential systems, anonymous transaction systems, bid secrecy and verifiability, e-voting, ...
- We introduce notions of security and anonymize generically any signature scheme. [5]

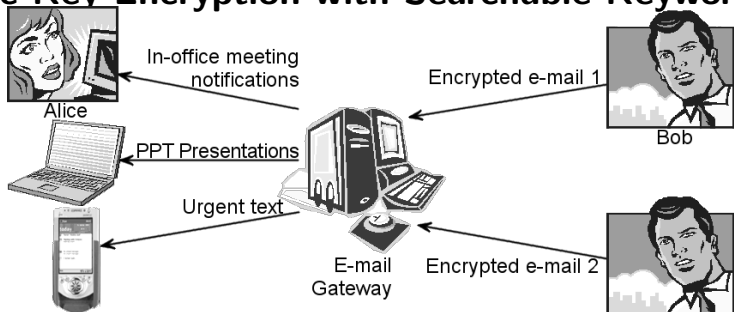
Section Outline

- 4 Anonymous Identity Based Encryption
 - Problem Scenario 1
 - Problem Scenario 2

Anonymous Identity Based Encryption

- An Indian agent in Pakistan wants to receive messages from India or other Indian agents.
- All emails entering Pakistan from India are monitored
 - to whom they are going etc.
- Even if the email cannot be read they can trace the route etc.
- Anonymous identity based encryption (aIBE) allows the recipient:
 - to remain anonymous.
 - to communicate with other agents who do not know the full identity but only certain attributes.
- We provide the only existing non-trivial method of converting a non-anonymous IBE to an anonymous IBE. [6]

Public-Key Encryption with Searchable Keywords



- Alice wishes to read her e-mail on 3 devices: desktop, laptop, pager
- E-mail server has to route each e-mail to appropriate device according to e-mail keywords
- If e-mail is encrypted then server cannot read keywords
- Public key encryption with keyword search (PEKS) allows:
 - Server to route e-mail appropriately
 - E-mail to remain private against server
- We solve the open problem of a PEKS not based on elliptic curves. [6]

Section Outline

- 5 How to Leak a Secret and Reap the Rewards too
 - Motivation I
 - Motivation II
 - Applications

Godfather Scenario

- Suppose the government announces a reward for information leading directly to the apprehension or conviction of the boss B of a criminal gang.
- B is a powerful person feared by all and has many officials in the government secretly working for him.
- A member S of the gang is tempted by the award and wants to reveal some information about B .
 - S needs to make the information public and not tip off a select few officials since S does not know if they might be working for B and might hand him over to B .
 - S cannot reveal his identity to anyone for same reasons.
 - S wants/needs to reveal his identity to claim the reward
 - only after B has been convicted; and
 - only to a designated official V .
 - Finally, V should not be able to prove that S had leaked the information.

Auction Scenario

- A bidder A wants to anonymously participate in an auction without revealing his identity even to the auctioneer.
- The auctioneer B is not willing to accept 'completely anonymous' bids. For example, bids from millionaires or people from certain organization, may be accepted.
- When A wins the auction, A needs to prove his identity to claim the win while preserving his anonymity.
- A could possibly use a ring signature (with a sufficiently anonymizing ring formed of potential millionaire bidders) but then A will not be able to prove that he indeed placed the winning bid.
- Use our proposed *divrs* with B as the designated verifier.
 - If A loses the auction, there is no loss of anonymity.
 - If A wins the auction, only B gets to identify A .
 - Note that B cannot prove to others that A bid for the item.
 - The winning bid though can be publicly verified.

How to Leak a Secret and Reap the Rewards too

- Many applications of ring signatures like whistle blowing or anonymity-preserving auctions come with a 'reward' for the signer (whistle blower or auction winner).
- To claim the reward the signer would need to reveal their identity to a designated authority (government official or auctioneer) and prove that they indeed produced the signature.

Section Outline

- 6 Anonymous Proxy Signatures
 - Motivation

Anonymous Proxy Signatures

- Proxy signatures
 - You need to go out of town but you are expecting a registered post.
 - Authorize a neighbor to receive the post on your behalf.
- Proxy multi-signature
 - Full family is going out of town but want registered posts to be received.
 - Each member can authorize a neighbor to receive posts on their behalf.
 - All members give one authorizing letter to a neighbor.
- Multi-proxy signature
 - What if you do not want to rely on one single person?
 - You can give authorizing letters to multiple people.
- Anonymous proxy signature
 - In the authorizing letter, we explicitly mention the authorized person.
 - Or we can just give a “bearer” authorizing letter.
- Threshold anonymous proxy multi-signature [3]

Example

Consider a secure multi-party computation setting:

- Parties $\mathcal{O}_1, \dots, \mathcal{O}_n$ start a process \mathcal{P} after authenticating themselves.
- Once the process \mathcal{P} is started, $\mathcal{O}_1, \dots, \mathcal{O}_n$ do not need to stay online while the process \mathcal{P} may remain active but
- need access to additional resources that require further authentication.
- The parties thus delegate their rights to the process \mathcal{P} and
- the resources allow access to \mathcal{P} as long as the resources can verify that \mathcal{P} was indeed authorised by the original parties.
- The resources do not need to know the 'identity' of the process at all and \mathcal{P} may remain anonymous to them.
- Most of the times the resources do not even need to know whether it is actually the original parties who were authenticated or their proxy \mathcal{P} .
- But in case of a malicious process, the original parties should be able to expose the process and restrict any further activities by it on their behalf.

Section Outline

- 7 Lightweight Block Ciphers
 - Applications

Lightweight Block Ciphers

- Tailor made for devices which have very limited resources

- cellphones
- smart cards
- RFID tags
- sensor nodes



- These devices use less resources in terms of

- power
- memory
- time
- space

- The heat generated, the electromagnetic emissions and similar by-products have a huge impact on the functioning, on the life-span, and on the security of these devices

Section Outline

- 8 Secret Sharing Schemes
 - Problem Scenario
 - Naive Solution
 - Problems with Naive Solution

Missile Key / Bank Vault Key

- Suppose one single person can fire a missile system.
- One bad fight with his wife
- and the whole village of in-laws goes BOOM.
- So need a mechanism so that one single person cannot fire the missile.
- Suppose the bank vault can be opened by one single key held by the manager.
- One bad day at the racing
- and the bank-vault goes empty
- So need a mechanism so that one single person cannot open the vault.

Naive Solution

- Meet CRRao in AIMSCS at six pm.

- MEETCRRAOINAIMSCSATSIXPM

- Distribute in two **shares**

- M E C R O N I S S T I P

MECRONISSTIP

- E T R A I A M C A S X M

ETRAIAMCASXM

- Distribute in three **shares**

- M T R I I C T X

MTRIICTX

- E C A N M S S P

ECANMSSP

- E R O A S A I M

EROASAIM

- What if you can get **only** two out of three shares?

- E E C R A O N A M S S A S I P M

EECRAONAMSSASIPM

- Distribute such that two out of three **shares** can recover full secret!

- M E T C R A I N I M C S T S X P

METCRAINIMCSTXP

- M E T R R O I A I S C A T I X M

METRROIAISCATIXM

- E E C R A O N A M S S A S I P M

EECRAONAMSSASIPM

Problems with Naive Solution

- Each of the n shares gives ' $1/n$ '-th of the information about the secret.
- And any m of the n shares, when combined, give ' m/n '-th of the information about the secret.
- We use mathematical techniques so that any share should give '0' information about the secret.
- In fact even if $t - 1$ shares are obtained there is no extra information obtained.

Section Outline

- 9 Introduction
 - Post-quantum cryptography
 - Code-based cryptography

Post-quantum cryptography

- PQC [?] is the study of cryptosystems that
 - run on classical computers; and yet
 - are secure against attacks by quantum computers. [?, ?]
- Some existing systems that fall under PQC are
 - Code-based cryptography [?, ?, ?, ?]
 - Lattice-based cryptography
 - Multivariate-quadratic-equations cryptography
 - Hash-based cryptography
 - Secret-key cryptography

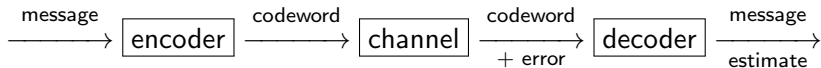
Code-based cryptography

- The McEliece cryptosystem [?]
- The Niederreiter cryptosystem [?]

Section Outline

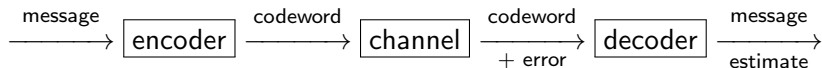
- 10 Coding Theory
 - Codes
 - Linear Codes
 - Hamming distance
 - Minimum distance
 - Parity-check matrix
 - Decoding
 - Cyclic Codes
 - BCH Codes
 - GRS codes
 - Alternant Codes
 - Goppa Codes

Code



- Coding theory deals with design of “error detecting/correcting codes” for reliable transmission of information over noisy channels.
- Codes protect against errors that occur in messages transmitted in a noisy channel.
- An encoder transforms a message word into a codeword by adding redundancy.
- The decoder uses a decoding algorithm to detect and/or correct errors which might have occurred during transmission.

Code



- A *code* C is a finite subset of some mathematical structure.
- Members of this subset are called *codewords*.
- The codewords are chosen in such a way that even if some “error” is added to any codeword, it can be “identified” (with good probability).
- *Encoder* is a function that transforms a message to a codeword.
- *Decoder* is a function that transforms a received codeword+error to a message estimate.

Example — Repetition Code

- $\mathcal{M} = \{0, 1\}$.
- $C = \{000, 111\} = \langle 111 \rangle$.
- **Encoder:** $0 \rightarrow 000; 1 \rightarrow 111$.
- **Decoder:**
 - If the codeword has more 0s, decode it to 0;
 - If the codeword has more 1s, decode it to 1.
 - Maximum likelihood (ML) Decoding.
- This is $[3, 1, 3]$ binary linear code.
- $G_{1 \times 3} = [I_1 | A] = [111]$.
- $H_{2 \times 3} = [A^T | I_2] = \begin{pmatrix} 1 & 1 & 0 \\ 1 & 0 & 1 \end{pmatrix}$.

Example — Even Parity Code

- $\mathcal{M} = \{0, 1\}^3 = \{000, 001, 010, 011, 100, 101, 110, 111\}$.
- $C = \langle 100011, 010101, 001110 \rangle$
 $= \{000000, 001110, 010101, 011011, 100011, 101101, 110110, 111000\}$.
- **Equations:** $x_2 + x_3 + x_4 = 0$, $x_1 + x_3 + x_5 = 0$, $x_1 + x_2 + x_6 = 0$.
- This is $[6, 3, 3]$ binary linear code.

- $G_{3 \times 6} = [I_3 | A] = \begin{pmatrix} 1 & 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 1 & 1 & 0 \end{pmatrix}$.

- $H_{3 \times 6} = [A^T | I_3] = \begin{pmatrix} 0 & 1 & 1 & 1 & 0 & 0 \\ 1 & 0 & 1 & 0 & 1 & 0 \\ 1 & 1 & 0 & 0 & 0 & 1 \end{pmatrix}$.

Linear Codes

- If the encoding function E is linear in the message set, C is called a *linear code*.
- If the messages are binary strings of length k , i.e., $\mathcal{M} = \mathbb{F}_2^k$, and $E : \mathbb{F}_2^k \rightarrow \mathbb{F}_2^n$ is linear, then C is called a *binary linear code*.
- A (n, k) *q -ary linear code* C of length n and dimension k is a k -dimensional subspace of \mathbb{F}_q^n .
- $r = k/n$ is called the *code rate*.

Linear Codes

- Any linear map $E : \mathbb{F}_2^k \rightarrow \mathbb{F}_2^n$ can be represented using a $k \times n$ matrix G over \mathbb{F} such that $E(x) = xG$.
- G is called a *generator matrix* for the code C and

$$C = \text{linear span of } G = \{mG : m \in \mathbb{F}_2^k\}.$$

- The matrix G forms a basis of C and corresponds to the encoding map sending k -bit strings to n -bit strings.

Generator matrix of a linear code

- For example

$$G = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{pmatrix}.$$

generates a linear code of length $n = 7$ and dimension $k = 4$ over \mathbb{F}_2 .

- The *message*

$$m = (0011)$$

is then *encoded* to the *codeword*

$$c = (0011)G = (0011001).$$

Hamming distance

- The *Hamming weight* of a word is the number of non-zero coordinates.

$$hw(x) = \sum_{i=1}^k x_i \quad \text{where } x = (x_1, \dots, x_n).$$

- The *Hamming distance* between two words in F_2^n is the number of coordinates where they differ.

$$d(x, y) = hw(x \oplus y).$$

- Hamming weight of a word is its Hamming distance from the zero.

$$hw(x) = hw(x \oplus 0) = d(x, 0).$$

Minimum distance

- The *minimum distance* of a linear code C is the smallest Hamming weight of a non-zero codeword in C .

$$d = \min\{hw(x) \mid x \in C \setminus \{0\}\}.$$

- C is then called a (n, k, d) *code*.
- The example code is a $(7, 4, 3)$ binary Hamming code with minimum distance 3.
- The example codeword (0011001) has the minimum weight 3.

- *Singleton bound*: $d \leq n - k + 1$.

- **Theorem** (*Error correction*)

A linear code C with minimum distance $d \geq 2t + 1$ can correct any t errors.

Example — Single Error Correcting Code

- $m > 1$.
- $n = 2^m - 1$.
- $k = 2^m - 1 - m$.
- $d = 3$.
- Hamming Code \mathcal{H}_m .

Parity-check matrix

- A *parity-check matrix* of linear code C is a $(n - k) \times n$ matrix H such that

$$cH^T = 0$$

for all codewords $c \in C$.

- In particular, for any generating matrix G ,

$$G \cdot H^T = 0.$$

- The code C can be defined using the *parity check* $H : \mathbb{F}_2^n \rightarrow \mathbb{F}_2^{n-k}$.

$$C = \text{span}(G) = \text{null space}(H) = \{x \in \mathbb{F}_2^n \mid xH^T = 0\}.$$

Parity-check matrix

- Relation between G and H :

$$G_{k \times n} = [I_k | A_{k \times (n-k)}] \iff H_{(n-k) \times n} = [A_{k \times (n-k)}^T | I_{n-k}]$$

- Given a generating matrix G , a parity-check matrix H can be computed using the Gaussian elimination to compute the $(n - k) \times n$ kernel of G .
- **Theorem** (*Independence of the H matrix*)
A linear code C has minimum distance d if and only if any set of $d - 1$ columns of H are linearly independent.

\mathcal{H}_3 — [7, 4, 3] **Hamming Code**

- Generating Matrix

$$G = G_{4 \times 7} = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{pmatrix}$$

- Parity check Matrix

$$H = H_{3 \times 7} = \begin{pmatrix} 0 & 1 & 1 & 1 & 1 & 0 & 0 \\ 1 & 0 & 1 & 1 & 0 & 1 & 0 \\ 1 & 1 & 0 & 1 & 0 & 0 & 1 \end{pmatrix}$$



Decoding problem

- *Decoding problem*: Given $y \in \mathbb{F}_2^n$, find the codeword $c \in C$ “closest” to y , assuming that there is a unique closest codeword.
- *Generic decoding is hard* for a binary linear code with no obvious structure.
- *Berlekamp, McEliece, van Tilborg [?]* showed that the general decoding problem for linear codes over \mathbb{F}_2 is NP-complete.

Good News

- There are code families with fast decoding algorithms
 - Hamming codes
 - BCH codes
 - Reed-Solomon codes
 - Reed-Muller codes
 - Gabidulin codes
 - alternant codes
 - Algebraic-geometric codes
 - ...
 - Goppa codes

Syndrome decoding

- Given $y \in F_2^n$, determine an *error vector* e of a given weight t such that

$$c = y - e$$

is a codeword.

- Given $y \in F_2^n$, compute the *syndrome*

$$s = s(y) = yH^T = (c + e)H^T = eH^T .$$

- Find a weight- t word e such that $s = s(y) = eH^T$.
 - Tricky part for the decoder.
 - Here code structure helps build efficient algorithms
- Find $c = r - e$ and then use linear algebra to recover x .

Syndrome decoding

- Corresponding to any error vector of weight upto t there is a unique syndrome.
- Syndrome decoding for errors of weight upto t , $2t + 1 \leq d \leq n - k + 1$.
- Syndrome table for \mathcal{H}_3 :

Syndrome	Error
000	0000000
001	0000001
010	0000010
011	1000000
100	0000100
101	0100000
110	0010000
111	0001000

Syndrome decoding for \mathcal{H}_3

- Let $y = (0011011)$.
- Then

$$yH^T = (0011011) \begin{pmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \\ 1 & 1 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} = (010)$$

- From Syndrome table , $(010) \leftrightarrow e = (0000010)$.
- Therefore, $xG = y + e = (0011011) + (0000010) = (0011001)$.

Standard Array Decoding

- The *coset* of a code C corresponding to a vector $a \in \mathbb{F}_2^n$ is

$$C_a = C + a = \{x + a \mid x \in C\}.$$

- A vector $x_0 + a \in C + a$ is called a *coset leader* of $C + a$ if

$$hw(x_0 + a) = \min\{hw(y) \mid y \in C + a\}.$$

- The syndrome of any element $y \in C_a$ is same.

Standard Array Decoding

- Let $C = \langle 0111, 0101 \rangle = \{0000, 0111, 0101, 0010\}$
- Then the cosets of C are
 - $C_{0000} = \{0000, 0111, 0101, 0010\}$
 - $C_{1000} = \{1000, 1111, 1101, 1010\}$
 - $C_{0100} = \{0100, 0011, 0001, 0110\}$
 - $C_{1001} = \{1001, 1110, 1101, 1011\}$
 - (Blue colour vectors represents the coset leaders.)
- The syndrome of any element $y \in C_a$ is same.
- Corresponding to any error vector of weight upto t there is a unique syndrome.

Cyclic Codes

- C is a *cyclic code* if

$$(c_0, c_1, \dots, c_{n-1}) \in C \Rightarrow (c_{n-1}, c_0, c_1, \dots, c_{n-2}) \in C$$

- The code is *invariant* under cyclic shifts.
- Using the polynomial representation $\mathbb{F}_2^n \simeq \mathbb{F}_2[X]/\langle X^n - 1 \rangle$, C is a cyclic code if

$$c(X) \in C \Rightarrow Xc(X) \in C$$

Cyclic Codes

- For any (n, k) cyclic code C , we can compute a $(n - k)$ degree monic polynomial $g(X)$, called a *generator polynomial*, such that

$$C = \{m(X)g(X) \mid m(X) \in \mathbb{F}_2[X], \deg(m(X)) < k\}.$$

- Generator polynomial of n -length cyclic codes divide $X^n - 1$.
- Parity check polynomial* of C is a polynomial $h(X)$ such that

$$(X^n + 1) = h(X)g(X)$$

for some generating polynomial $g(X)$ of C .

- Encoding:** $m(X) \rightarrow m(X)g(X) \pmod{X^n - 1}$ where $m(X) \longleftrightarrow (m_0, m_1, \dots, m_{k-1})$.

Cyclic Codes — Generating Matrix

- Let $g(X)$ be the generating polynomial then

$$G = \begin{pmatrix} g(X) \\ Xg(X) \\ \vdots \\ X^{n-r-1}g(X) \end{pmatrix}.$$

- If $g(X) = g_0 + g_1X + g_2X^2 + \cdots + g_{r-1}X_{r-1} + g_rX^r$ then

$$G = \begin{pmatrix} g_0 & g_1 & \cdots & g_r & 0 & \cdots & 0 \\ 0 & g_0 & \cdots & g_{r-1} & g_r & \cdots & 0 \\ & & & \cdots & & & \\ 0 & \cdots & 0 & g_0 & g_1 & \cdots & g_r \end{pmatrix}.$$

Cyclic Codes — Parity Check Matrix

- Let $h(X)$ be the parity check polynomial then parity check

$$H = \begin{pmatrix} \hat{h}(X) \\ X\hat{h}(X) \\ \vdots \\ X^{n-r-1}\hat{h}(X) \end{pmatrix} \quad \text{where } \hat{h} = \sum_{i=0}^{k-1} h_{k-1-i}X^i.$$

- If $h(X) = h_0 + h_1X + h_2X^2 + \cdots + h_{k-1}X_{k-1} + h_kX^k$ then

$$H = \begin{pmatrix} 0 & \cdots & 0 & h_k & \cdots & h_1 & h_0 \\ 0 & \cdots & h_k & h_{k-1} & \cdots & h_0 & 0 \\ & & & \cdots & & & \\ h_k & h_{k-1} & \cdots & h_0 & 0 & \cdots & 0 \end{pmatrix}.$$

Bose-Chaudhuri-Hocquenghem codes

- Let α be the n -th root of unity in \mathbb{F}_{q^m} for a given m .
- A (narrow-sense) *BCH code* with design distance $2t + 1$ and length n over \mathbb{F}_q has generator polynomial

$$g_{BCH}(X) = LCM(\text{minpoly}_q(\alpha)\text{minpoly}_q(\alpha^2) \dots \text{minpoly}_q(\alpha^{2t}))$$

where $\text{minpoly}_q(\alpha^i)$ is the minimum degree polynomial with coefficients from \mathbb{F}_q with α^i as a root.

- $\text{minpoly}_q(\alpha^i)$ divides $x^n - 1$ for all i .

BCH codes — Parity Check matrix

- Thus, any for any codeword $c(X)$,

$$(c(\alpha), c(\alpha^2), \dots, c(\alpha^{2t})) = 0.$$

- In other words, the parity check matrix

$$H_{BCH} = \begin{pmatrix} 1 & \alpha & \alpha^2 & \dots & \alpha^{(n-1)} \\ 1 & \alpha^2 & \alpha^4 & \dots & \alpha^{2(n-1)} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & \alpha^{2t} & \alpha^{4t} & \dots & \alpha^{2t(n-1)} \end{pmatrix}$$

- $BCH_q(n, 2t) = NullSpace(H_{BCH})$ in \mathbb{F}_q^n .
- Any set of $2t$ columns from H_{BCH} is linearly independent over \mathbb{F}_q .
Therefore BCH code with design distance $2t + 1$ can correct any t errors.

BCH Codes — Decoding

- $r(X) = c(X) + e(X)$, $hw(e) \leq t$.
 - find syndrome,
 - find error,
 - find information symbols.
- For any α^i , $i = 1, 2, \dots, 2t$ we have

$$r(\alpha^i) = c(\alpha^i) + e(\alpha^i) = e(\alpha^i) = \sum_{j=0}^{n-1} e_j (\alpha^i)^j.$$

BCH Codes — Decoding

- Suppose e has errors in ν locations for some $\nu \leq t$.
- Let those locations be j_1, j_2, \dots, j_ν .
- Then,

$$r(\alpha^i) = \sum_{l=1}^{\nu} e_{j_l} (\alpha^i)^{j_l}, \quad i = 1, 2, \dots, 2t.$$

BCH Codes — Decoding

- Let $X_l = \alpha^{j_l}$ and $S_i = r(\alpha^i)$.
- Therefore we have the set of equations

$$\begin{aligned} S_1 &= e_{j_1} X_1 + e_{j_2} X_2 + \cdots + e_{j_\nu} X_\nu ; \\ S_2 &= e_{j_1} X_1^2 + e_{j_2} X_2^2 + \cdots + e_{j_\nu} X_\nu^2 ; \\ &\dots \\ S_{2t} &= e_{j_1} X_1^{2t} + e_{j_2} X_2^{2t} + \cdots + e_{j_\nu} X_\nu^{2t} . \end{aligned}$$

- Note that $X_l = \alpha^{j_l}$ indicates the location of the l th error (i.e, j_l) while e_{j_l} is the error value at that position.
- We want to get both X_l and the e_{j_l} in that order.
- Direct solving for X_l involve nonlinear equations.
- So we use another trick.

BCH Codes — Decoding

- *Error Locator Polynomial*: A polynomial whose roots are X_l^{-1} , $l = 1, \dots, \nu$.

$$\Gamma(x) = \prod_{i=1}^{\nu} (1 - X_l x) = 1 + \Gamma_1 x + \Gamma_2 x^2 + \dots + \Gamma_{\nu} x^{\nu}.$$

- If we have the coefficients Γ_i , then getting the roots of $\Gamma(x)$ is equivalent to finding error locations
 - can be done by evaluations of $\Gamma(x)$.
- If we have the error locations, we can use the equations in the previous slide to get the error values.
- Coefficients Γ_i and the syndromes are related by Newton's identities.

BCH Codes — Decoding

- *Newton's identities:*

$$\begin{pmatrix} S_1 & S_2 & \dots & S_\nu \\ S_2 & S_3 & \dots & S_{\nu+1} \\ \vdots & \vdots & \ddots & \vdots \\ S_\nu & S_{\nu+1} & \dots & S_{2\nu-1} \end{pmatrix} \begin{pmatrix} \Gamma_\nu \\ \Gamma_{\nu-1} \\ \vdots \\ \Gamma_1 \end{pmatrix} = \begin{pmatrix} S_{\nu+1} \\ S_{\nu+2} \\ \vdots \\ S_{2\nu} \end{pmatrix}$$

- Above equation is well defined for $\nu \leq t$.

BCH Codes — Decoding

- Set $\nu = t$.
- Form M_ν and find $\det(M_\nu)$.
- If $\det(M_\nu) = 0$ then set $\nu \leftarrow \nu - 1$ and repeat the previous step.
- If M_ν is invertible, solve for coefficients Γ_i , $i = 1, 2, \dots, \nu$.
- Finally solve for the error values.

Reed Solomon codes

- RS Code is a BCH Code with $n = q^m - 1$ over \mathbb{F}_q^m . Thus,

$$g_{RS}(X) = (X - \alpha)(X - \alpha^2) \dots (X - \alpha^{2t})$$

- Another way to encode RS code: For any $m(X)$ (upto degree $k - 1$), the codeword is

$$(m(1), m(\alpha), \dots, m(\alpha^{n-1}))$$

- min distance: $d = n - k + 1$.

Generalized Reed Solomon codes

- GRS Codes also have max distance $d = n - k + 1$.
- $v = (v_1, v_2, \dots, v_n)$: non-zero elements in \mathbb{F}_q^m .
- $\beta = (\beta_1, \beta_2, \dots, \beta_n)$: distinct elements in \mathbb{F}_q^m .
- The $GRS(\beta, v)$ is the set of all vectors of the form $(v_1 m(\beta_1), v_2 m(\beta_2), \dots, v_n m(\beta_n))$, where $m(X)$ is any polynomial of degree $< k$.

GRS codes

- The parity matrix H of a GRS Code takes the form

$$H_{GRS} = \begin{pmatrix} 1 & 1 & \dots & 1 \\ \beta_1 & \beta_2 & \dots & \beta_n \\ \beta_1^2 & \beta_2^2 & \dots & \beta_n^2 \\ \vdots & \vdots & \ddots & \vdots \\ \beta_1^{n-k-1} & \beta_2^{n-k-1} & \dots & \beta_n^{n-k-1} \end{pmatrix} \begin{pmatrix} y_1 & \dots & & \\ & y_2 & \dots & \\ & \vdots & \ddots & \vdots \\ & & & y_n \end{pmatrix} = XY$$

where $y = (y_1, \dots, y_n)$ is some vector (with non-zero y_i) such that H_{GRS} is an appropriate H matrix to $GRS(\beta, v)$.

- $GRS(\beta, v) = NullSpace(H_{GRS})$ in \mathbb{F}_q^m .

Alternant Codes

- Long BCH codes are not good
 - rate (k/n) and error correction (d/n) don't keep growing together.
- Rectified by Alternant codes.
- Subcodes of GRS codes.
- **Alternant Code**: For β consisting of n distinct values from \mathbb{F}_{q^m} , and y being non-zero values from \mathbb{F}_{q^m} ,

$$A(\beta, y) = \text{NullSpace}(H_{GRS}) \text{ in } \mathbb{F}_q.$$

Goppa Codes

- Fix
 - a prime power q ,
 - a positive integer m ,
 - a positive integer $n \leq q^m$,
 - an integer $t < \frac{n}{m}$,
 - distinct $\alpha_1, \dots, \alpha_n \in \mathbb{F}_{q^m}$, and
 - a polynomial $g(x) \in \mathbb{F}_{q^m}[x]$ of degree t such that for all i , $g(\alpha_i) \neq 0$.
- The *Goppa code* $\Gamma(\alpha_1, \dots, \alpha_n, g)$ consists of all words $c = (c_1, \dots, c_n)$ in \mathbb{F}_q^n such that

$$\sum_{i=1}^n \frac{c_i}{x - \alpha_i} \equiv 0 \pmod{g(x)}.$$

- $g(x)$ is called the *Goppa polynomial*.

Goppa Code as an Alternant Code

- $G(z)$ a polynomial with coefficients from \mathbb{F}_{q^m} .
- $\alpha = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$ are n elements such that

$$G(\alpha_i) \neq 0, \text{ for all } i = 1, 2, \dots, n.$$

- Let $y = (G(\alpha_1)^{-1}, G(\alpha_2)^{-1}, \dots, G(\alpha_n)^{-1})$.
- Then $Goppa(\alpha, G(z)) = A(\alpha, y)$
- If α is set of all non-zeros of $G(z)$ then the Goppa code is completely determined by $G(z)$.
- Has an optimised decoding algorithm because of its further structure.

Properties of Goppa codes

- $\Gamma(\alpha_1, \dots, \alpha_n, g)$ has length n and dimension $k \geq n - mt$.
- The minimum distance is at least $\deg g + 1 = t + 1$.
 - For $q = 2$, the minimum distance is $2t + 1$.
- Patterson decoding efficiently decodes t errors in the binary case; otherwise only $t/2$ errors can be corrected.

Section Outline

11 McEliece Cryptosystem

- Introduction
- Setup
- Key Generation
- Encryption
- Decryption

McEliece Cryptosystem [?]

- McEliece proposed a public-key cryptosystem based on error-correcting codes in 1978.
- Secret key is a linear error-correcting code with an efficient decoding algorithm.
- Public key is a transformation of the secret inner code which is hard to decode.

Setup

- The (public) system parameters are three positive integers n, k, t with $n \geq n - kt \geq 2t + 1$.
- The public key is a random-looking $k \times n$ matrix G_{pub} with entries in \mathbb{F}_2 .
- A message is a vector in \mathbb{F}_2^k .
- A ciphertext $c \in \mathbb{F}_2^n$ is a codeword with an added error of weight t .

Key Generation

- Let G be a generator matrix of a Goppa code Γ
 - of length n and dimension k and error-correcting capability t ;
 - and which allows for “efficient” decoding.
- Let S be a random $k \times k$ invertible matrix (called *scrambler*).
- Let P be a random $n \times n$ permutation matrix.
- The secret key is the triple (G, S, P) .
- The public key is $G_{pub} = SGP$.
- Finding G given G_{pub} seems to be harder than generic decoding.

Encryption

- A message $m \in \mathbb{F}_2^k$ is encrypted to a ciphertext $c \in \mathbb{F}_2^n$ as

$$c = mG_{pub} + e$$

where $e \in \mathbb{F}_2^n$ is a random error vector of weight t .

- Assuming G_{pub} is indistinguishable from a random code and c is indistinguishable from a random vector in \mathbb{F}_2^n , the hardness of the generic decoding guarantees the security.

Decryption

- The legitimate receiver knows G, S, P with $G_{pub} = SGP$ and a decoding algorithm for Γ .
- Computes $yP^{-1} = mSG + eP^{-1}$.
 - Since P is a permutation, eP^{-1} has exactly the same values as in e with their positions permuted so eP^{-1} is just another error vector of weight t .
- Applies the decoding algorithm of to recover mSG which is a codeword in Γ .
- Recovers mS and then obtains $m = (mS)S^{-1}$.

Section Outline

- 12 Niederreiter Cryptosystem
 - Introduction
 - Setup
 - Key Generation
 - Encryption
 - Decryption
 - Systematic Matrices

Niederreiter Cryptosystem [?]

- A variation of the McEliece cryptosystem.
- Instead of the generator matrix, the parity check matrix is used for the encryption.
- Similar security
- More efficient implementation

Setup

- The (public) system parameters are three positive integers n, k, t with $n \geq n - kt \geq 2t + 1$.
- The public key is a random-looking $(n - k) \times n$ matrix H_{pub} with entries in \mathbb{F}_2 .
- A message is an “error” vector in \mathbb{F}_2^n .
- A ciphertext is a syndrome in \mathbb{F}_2^{n-k} .

Key Generation

- Let H be a parity-check matrix of a Goppa code Γ
 - of length n and dimension k and error-correcting capability t ;
 - and which allows for “efficient” decoding.
- Let S be a random $(n - k) \times (n - k)$ invertible matrix (called *scrambler*).
- Let P be a random $n \times n$ permutation matrix.
- The secret key is the triple (H, S, P) .
- The public key is $H_{pub} = SHP$.

Encryption

- A message $m \in \mathbb{F}_2^l$, $l \approx \lfloor \log_2 \binom{n}{t} \rfloor$, is encrypted to a ciphertext $c \in \mathbb{F}_2^{n-k}$ as follows:
- First, m is encoded as a binary string e of length n and weight t .
- Set $c = H_{pub} e^T$.

Decryption

- The legitimate receiver knows H, S, P with $H_{pub} = SHP$ and a decoding algorithm for Γ .
- Compute $\hat{c} = S^{-1}c$.
- Obtain \hat{e} from \hat{c} using the decoding algorithm.
- Compute $e = P^{-1}\hat{e}$ of length n and weight t .
- The message m can now be recovered by inverting the encoding.

Key Generation for Systemetic Parity Matrix

- Let Γ be a Goppa code
 - of length n and dimension k and error-correcting capability t ;
 - and which allows for “efficient” decoding.
- Select a random Goppa polynomial $g(z)$ of degree t over \mathbb{F}_q .
- Randomly choose n elements of \mathbb{F}_q that are not roots of $g(z)$ as the support L .
- Compute the $(n - k) \times n$ parity check matrix H according to L and $g(z)$.
- Bring H to systematic form using Gauss-Jordan elimination:
 $H_{pub} = SH$.
- The secret key is the triple $(L, g(z), S)$.
- The public key is H_{pub} .

Decryption for Systemetic Parity Matrix

- Compute $\hat{c} = S^{-1}c$.
- Obtain e from \hat{c} using the decoding algorithm.
- The message m can now be recovered by inverting the encoding.
- No need for the permutation.

Section Outline

- 13 McEliece v/s Niederreiter
 - Equivalence
 - Comparison

Security Equivalence of McEliece and Niederreiter

- Let C be an $(n, k, 2t + 1)$ linear code.
- Then given a generator matrix G of C , a parity check matrix H of C can be found using linear algebra with complexity $O(n^3)$.
- Also given a parity check matrix H of C , a generator matrix G of C can be found using linear algebra with complexity $O(n^3)$.
- For instance, by converting the generator matrix into the systematic form $G = [I_k A]$, the parity check matrix is simply given by $H = -[A^T I_{n-k}]$.

McEliece \iff Niederreiter

- Let $c = mG_{pub} + e$ be a ciphertext in the McEliece cryptosystem with public key G_{pub} .
- Obtain a parity check matrix H from G_{pub} and let $z = cH^T$.
- Then, $z = cH^T = mG_{pub}H^T + eH^T = eH^T$.
- So, if Niederreiter cryptosystem is broken, then given the “syndrome” z , the “error” e can be found.
- Then, $mG_{pub} = c - e$ is a codeword and m can be obtained using linear algebra, breaking McEliece cryptosystem in complexity $O(n^3)$.

McEliece \implies Niederreiter

- Let $z = yH_{pub}^T$ be a ciphertext in the Niederreiter cryptosystem with public key H_{pub} .
- Obtain a generator matrix G from H_{pub} .
- A solution $c \in \mathbb{F}_2^n$ such that $z = cH_{pub}^T$ of weight larger than or equal to t can be obtained using linear algebra with complexity $O(n^3)$.
- Then, $0 = cH_{pub}^T - z = cH_{pub}^T - yH_{pub}^T = (c - y)H_{pub}^T$.
- So $c - y$ is a codeword in C and there exists some $m \in \mathbb{F}_2^k$ such that $c - y = mG$ or $c = mG + y$.
- So, if McEliece cryptosystem is broken, then given c , m and hence e can be found, breaking Niederreiter cryptosystem in complexity $O(n^3)$.

Size Comparison

Size	McEliece	Niederreiter	Remarks
Public Key	$(n - k) \times k$	$(n - k) \times k$	same
Secret Key	$(n - k)^2 + tk + n \log n$	$(n - k)^2 + tk + nt$	almost same when $k \approx n/2$
PlainText	k	$\approx \lfloor \log_2 \binom{n}{t} \rfloor$	almost same when $k \approx n/2$
CipherText	n	$n - k$	smaller in Niederreiter so better information rate

Efficiency Comparison

Operation	McEliece	Niederreiter	Remarks
Encryption	1 matrix multiplication	1 (simpler) matrix multiplication + encoding	similar
Decryption	1 matrix multiplication + decoding + permutation	1 matrix multiplication + decoding + message decoding	Niederreiter is more expensive

Summing up the Comparison

- Niederreiter has smaller ciphertext blocks.
- Results in higher information rate.
- Decryption is more expensive. Some workload may be moved to the encryption, which still remains a fast operation.

Section Outline

- 14 McEliece PKC: Advantages and Disadvantages

McEliece and Niederreiter PKC

- McEliece PKC - proposed in 1978, almost as old as Public-Key Cryptography.
 - Based on binary Goppa codes
 - The hard problem: GDP
- Niederreiter PKC - proposed in 1986
 - Based on GRS codes, but replaced with Goppa after Sidelnikov-Shestakov attack, 1992
 - The hard problem: SDP

Advantages of Goppa Codes

- have a very efficient decoding algorithm
- share many characteristics with random codes
- does not disclose any visible structure that an attacker could exploit
- there are sufficiently large number of codes with given parameters

Description: McEliece PKC

Private-key

- Generator matrix G of a t -error correcting code C
- An invertible matrix $S_{k \times k}$.
- A permutation matrix $P_{n \times n}$.

Public-key

$$G'_{k \times n} = S_{k \times k} G_{k \times n} P_{n \times n}.$$

Encryption

$$c \rightarrow y = cG' + e$$

Decryption

- Compute $x = yP^{-1} = cSG + eP^{-1}$.
- Decode x with the secret algorithm for C . This gives cSG .
- Compute c from cSG .

Description: Niederreiter PKC

Private-key

- Parity-check matrix H of a t -error correcting code C
- An invertible matrix $S_{(n-k) \times (n-k)}$.
- A permutation matrix $P_{n \times n}$.

Public-key

$$H'_{(n-k) \times n} = S_{(n-k) \times (n-k)} H_{(n-k) \times n} P_{n \times n}.$$

Encryption

$$c \rightarrow s' = cH'^T, \text{ where } w(c) = t.$$

Decryption

- Compute $s = s'S^{-1T} = cP^T H^T = c'H^T$, where $c' = cP^T$.
- Decode s with the secret algorithm for C . This gives c' .
- Compute c from cP^T .

Advantages and Disadvantages of the schemes







Advantages

- No structural attacks: that reveal the structure of hidden code
- No significant decoding attacks: that recover an information set of symbols without errors, that try to decode a random code without structure
- very fast encryption and decryption
 - **Encryption** (in bo/ib): 514(McEliece), 50(Niederreiter) and 2402(RSA)
 - **Decryption** (in bo/ib): 5140(McEliece), 7863(Niederreiter) and 738112(RSA)

Disadvantages

- Low Information Rate
- Huge Key-size
- Key Size (in bytes): 67072(McEliece), 32750(Niederreiter) and 256(RSA)

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