

Lightweight Cryptography and Classification of AEAD Modes

Nilanjan Datta

Institute for Advancing Intelligence (IAI), TCG CREST

International Crypto-Webniar 2020

Aug 30, 2020

tcg crest

Inventing Harmonious Future

Content

- Introduction to **Authenticated Encryption**
- Motivation of **Lightweight Cryptography**
- A Discussion on **NIST LwC Project**
- **Classification** of lightweight **AEAD Modes**

I: An Introduction to Authenticated Encryption



The Popular Story: **Encryption**

- 1 Alice and Bob share a secret key K

The Popular Story: **Encryption**

- 1 Alice and Bob share a secret key K
- 2 Alice sends the ciphertext $C = Enc_K(M)$ corresponding to a message M to Bob

The Popular Story: Encryption

- 1 Alice and Bob share a secret key K
- 2 Alice sends the ciphertext $C = Enc_K(M)$ corresponding to a message M to Bob
- 3 **Data Privacy**: Only Bob can decrypt. No information (other than length) about plaintext is leaked from ciphertext

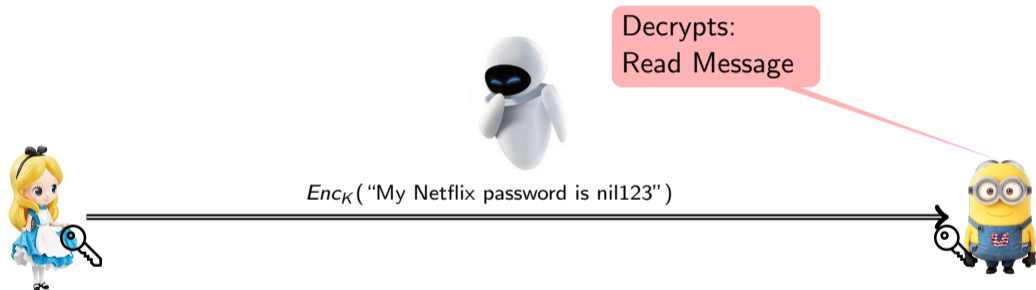
The Popular Story: Encryption



$Enc_K(\text{"My Netflix password is nil123"})$



The Popular Story: Encryption



The Popular Story: **Authentication**

- 1 Alice and Bob share a secret key K

The Popular Story: **Authentication**

- 1 Alice and Bob share a secret key K
- 2 Alice sends M along with tag $T = \text{Tag}_K(M)$ to Bob

The Popular Story: **Authentication**

- 1 Alice and Bob share a secret key K
- 2 Alice sends M along with tag $T = \text{Tag}_K(M)$ to Bob
- 3 **Data Integrity**: Bob can verify valid tag and can reject all tampered tag

The Popular Story: Authentication



"I love you" || Tag_K ("I love you")



The Popular Story: Authentication

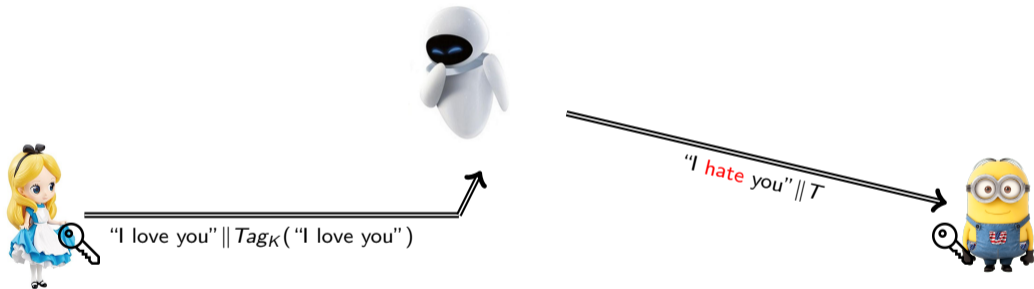


Valid Tag:
Read Message

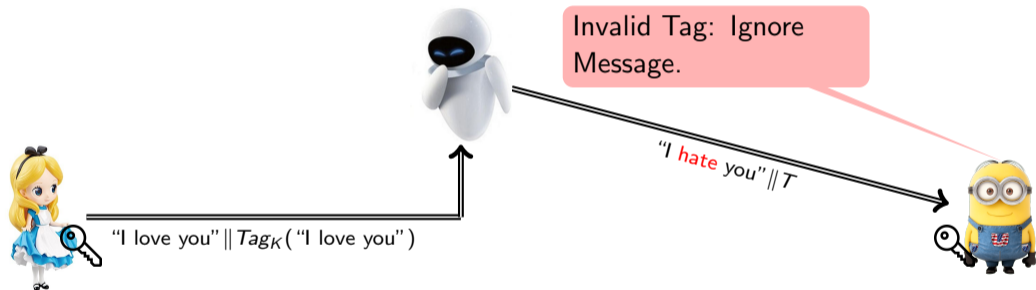
"I love you" || $Tag_K("I love you")$



The Popular Story: Authentication



The Popular Story: Authentication



Authentication + Encryption

Why Authenticated Encryption?

Chasing the Gangstar

Inspector Alice wishes sub-inspector Bob to move his check point to a different location

Why Authenticated Encryption?

Chasing the Gangstar

Inspector Alice wishes sub-inspector Bob to move his check point to a different location

- Data *Privacy*: The updated check point should remain *confidential*

Why Authenticated Encryption?

Chasing the Gangstar

Inspector Alice wishes sub-inspector Bob to move his check point to a different location

- Data *Privacy*: The updated check point should remain *confidential*
- Data *Authenticity*: Alice sends the updated check point and it has not been modified in transit

Why Authenticated Encryption?

Covid Report

Doctor Alice wishes to send the covid report M of Bob to the medical database

Why Authenticated Encryption?

Covid Report

Doctor Alice wishes to send the covid report M of Bob to the medical database

- Data *Privacy*: Bob's medical records should remain *confidential*

Why Authenticated Encryption?

Covid Report

Doctor Alice wishes to send the covid report M of Bob to the medical database

- Data *Privacy*: Bob's medical records should remain *confidential*
- Data *Authenticity*: Alice prepares the report and the report was not modified in transit

Why Authenticated Encryption?

The Annual Evaluation

Prof. Alice wishes to inform the semestral marks to her students

- Data *Privacy*: Bob's marks should remain *confidential*
- Data *Authenticity*: Alice sends the marks and the marks have not been modified in transit

Authenticated Encryption with Associated Data (AEAD)

AEAD Algorithm

$$\mathcal{AE}(K, AD, M, N) \rightarrow (C, T)$$

Authenticated Encryption with Associated Data (AEAD)

AEAD Algorithm

$$\mathcal{AE}(K, AD, M, N) \rightarrow (C, T)$$

What is Associated Data?

- *Header* of the Message. Example: *IP Address*
- Requires data authenticity, not privacy

Authenticated Encryption with Associated Data (AEAD)

AEAD Algorithm

$$\mathcal{AE}(K, AD, M, N) \rightarrow (C, T)$$

What is Associated Data?

- *Header* of the Message. Example: *IP Address*
- Requires data authenticity, not privacy

What is Nonce?

- An arbitrary number used only *once*. Example: *Counter*
- Used to generate *randomness*

Authenticated Encryption with Associated Data (AEAD)

Verified Decryption Algorithm

$$\mathcal{VD}(K, AD, C, T, N) \rightarrow M/\perp.$$

Authenticated Encryption with Associated Data (AEAD)

Verified Decryption Algorithm

$$\mathcal{VD}(K, AD, C, T, N) \rightarrow M/\perp.$$

A Note on Verified Decryption

- Plaintext is only **released after verification** is successful
- Otherwise, the algorithm aborts
- However, the **ordering** of verification and **decryption** may vary

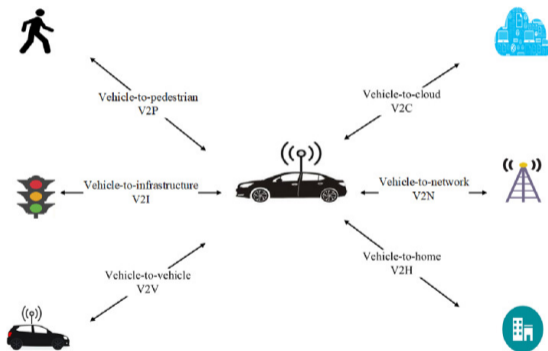
II: An Introduction to Light-weight Cryptography



Lightweight Cryptography: Use in Rain RFID Tags



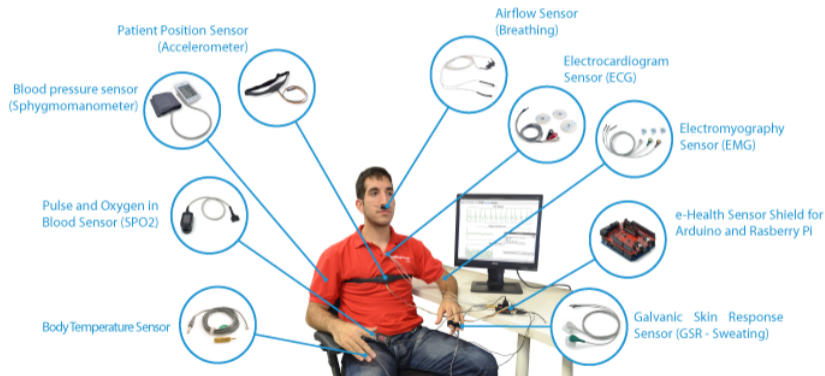
Lightweight Cryptography: Use in Vehicles



Lightweight Cryptography: Use in Smart Home



Lightweight Cryptography: Use in Medical Sensors



What is Lightweight Cryptography?

Lightweight Cryptography

Subfield of Cryptography that aims to provide **crypto solutions** tailored to **constrained environments**

What is Lightweight Cryptography?

Lightweight Cryptography

Subfield of Cryptography that aims to provide **crypto solutions** tailored to **constrained environments**

Lightweight = Light + **Weight**

What is Lightweight Cryptography?

Lightweight Cryptography

Subfield of Cryptography that aims to provide **crypto solutions** tailored to **constrained environments**

Lightweight = Light + **Weight**

Weight of an Algorithm

A property of its implementation depending on different metrics of the target platform

Weight of An Algorithm



Weight of An Algorithm



Weight of An Algorithm



Weight of An Algorithm



Weight of An Algorithm



General Purpose Crypto vs Lightweight Crypto

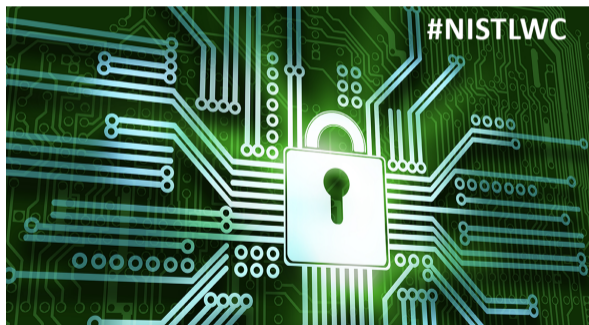
General Purpose Crypto

- Used in several applications
- A proper **trade-off** of various metric: area, speed, throughput, energy etc

Lightweight Crypto

- Used for **dedicated** resource constraint environment
- Lack of Crypto standards suitable for such devices.

III: A Brief Overview on NIST LwC Project



NIST LwC Project: Timeline

- Aug 2018: Call for Algorithms
- Mar 2019: First Round Submission
- Aug 2019: Declaration of Second Round Candidates
- Sep 2019: Second Round Submission
- Dec 2020: Declaration of Third Round Candidates
- End of 2021: Final Portfolio

NIST LwC Project: Requirements

- Perform significantly better in **constrained environments** (HW and SW platforms)
- Efficient for **short messages**
- Implementations should have cheap countermeasures against side channel attacks, and fault attacks
- **Scope: AEAD** with optional hashing functionality

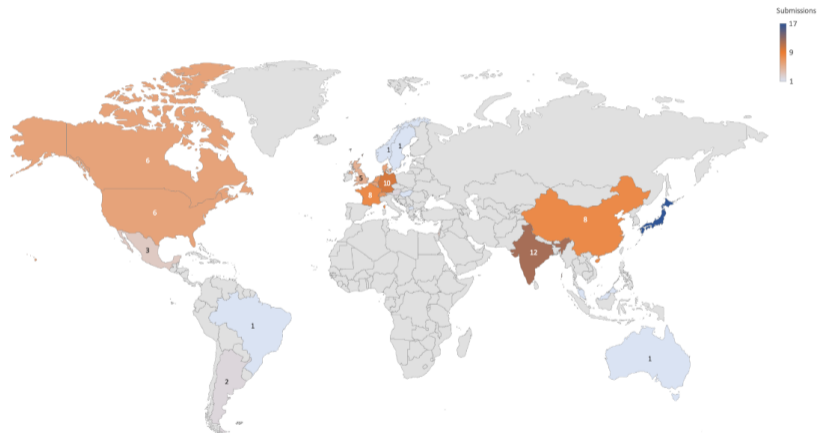
NIST LwC First Round Candidates

- Total 57 submissions in Round 1 (12 from India)



*Figure Courtesy: Meltem Sonmez Turan

NIST LwC Submission Statistics



*Figure Courtesy: Meltem Sonmez Turan

NIST LwC Second Round Candidates

- Total 32 candidates moved to Round 2 (10 from India)

ACE	ASCON	COMET	DryGASCON	Elephant	ESTATE
ForkAE	GIFT-COFB	Gimli	Grain-128 AEAD	HyENA	ISAP
KNOT	LOTUS-AEAD & LOCUS-AEAD	mixFeed	ORANGE	Oribatida	PHOTON-Beetle
Pyjamask	Romulus	SAEAES	Saturnin	SKINNY-AEAD	SPARKLE
Spix	SpoC	Spook	Subterrain 2.0	SUNDAE-GIFT	TinyJAMBU
WAGE	Xoodyak				

NIST LwC Second Round Candidates

- Total 32 candidates moved to Round 2 (10 from India)

ACE	ASCON	COMET	DryGASCON	Elephant	ESTATE
ForkAE	GIFT-COFB	Gimli	Grain-128 AEAD	HyENA	ISAP
KNOT	LOTUS-AEAD & LOCUS-AEAD	mixFeed	ORANGE	Oribatida	PHOTON-Beetle
Pyjamask	Romulus	SAEAEs	Saturnin	SKINNY-AEAD	SPARKLE
Spix	SpoC	Spook	Subterrain 2.0	SUNDAE-GIFT	TinyJAMBU
WAGE	Xoodyak				

All the 10 submissions are co-designed by **Prof. Mridul Nandi**

IV: Lightweight AEAD: Features and Design



Some Relevant Features of AEAD Modes

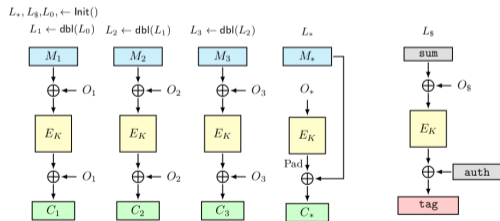
Single-Pass

- Make only **one pass** through the data, simultaneously doing what is needed to ensure both privacy and authenticity
- Computational cost for single-pass schemes are about half of a two-pass scheme, and hence **efficient**

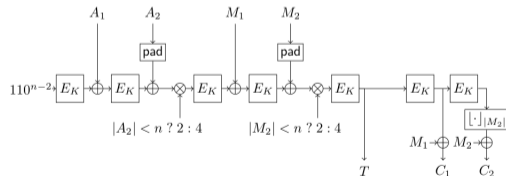
Some Relevant Features of AEAD Modes

Single-Pass

- Make only **one pass** through the data, simultaneously doing what is needed to ensure both privacy and authenticity
- Computational cost for single-pass schemes are about half of a two-pass scheme, and hence **efficient**



Single Pass



Two Pass

Some Relevant Features of AEAD Modes

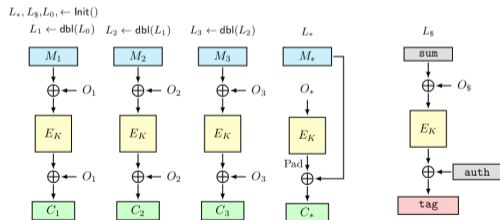
On-line

- Encryption produces cipher-text blocks **on the fly**, and before subsequent plain-text blocks are known
- Ensures that **no additional memory** is needed to store intermediate results for later use
- Useful in real-time **streaming protocols** as it **reduces** the end-to-end **latency**

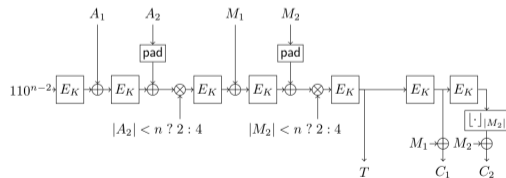
Some Relevant Features of AEAD Modes

On-line

- Encryption produces cipher-text blocks **on the fly**, and before subsequent plain-text blocks are known
- Ensures that **no additional memory** is needed to store intermediate results for later use
- Useful in real-time **streaming protocols** as it **reduces** the end-to-end **latency**



On-line



Not On-line

Some Relevant Features of AEAD Modes

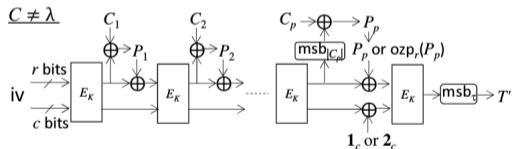
Parallel

- All the **ciphertext** blocks can be computed in **parallel**
- Allows both **H/W** and **S/W acceleration** proportional to the available computational unit
- Can have a **fully pipelined** implementation, **reduces latency** and provides **high speed**

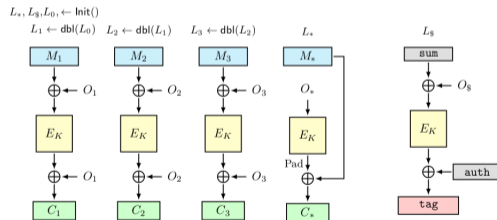
Some Relevant Features of AEAD Modes

Parallel

- All the **ciphertext** blocks can be computed in **parallel**
- Allows both **H/W** and **S/W acceleration** proportional to the available computational unit
- Can have a **fully pipelined** implementation, **reduces latency** and provides **high speed**



Sequential



Parallel

Some Relevant Features of AEAD Modes

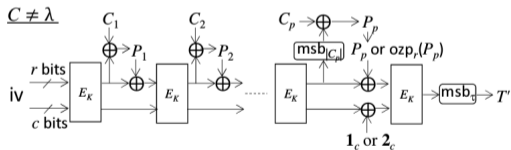
Inverse-Free

- Both the encryption and verified decryption algorithm **does not invoke** the **inverse** of the primitive.
- **Saves** significant **area** in **combined encryption-decryption** AEAD implementation

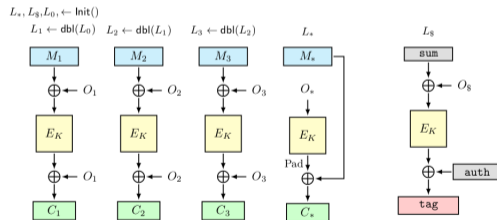
Some Relevant Features of AEAD Modes

Inverse-Free

- Both the encryption and verified decryption algorithm **does not invoke** the **inverse** of the primitive.
- Saves** significant **area** in **combined encryption-decryption** AEAD implementation



Inverse-free



Not Inverse-free

Some Relevant Features of AEAD Modes

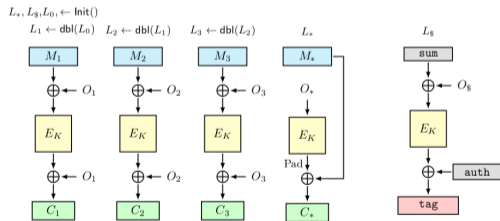
State-size

- A theoretic estimate of the **register size**
- Directly corresponds to the size of memory
- State size should be as **low** as possible for **area efficient** designs

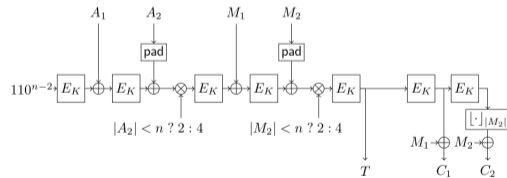
Some Relevant Features of AEAD Modes

State-size

- A theoretic estimate of the **register size**
- Directly corresponds to the size of memory
- State size should be as **low** as possible for **area efficient** designs



State size: $3n + k$ bits



State size: $n + k$ bits

Some Relevant Features of AEAD Modes

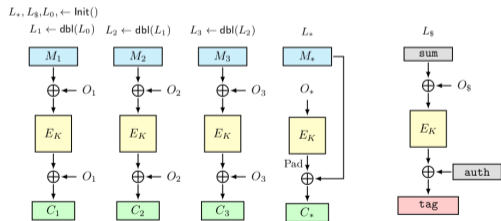
High Rate

- Rate is defined as number of **message blocks** processed **per primitive invocation**
- Rate of an AEAD mode can be at most 1
- Constructions with **higher rate reduces latency**, and particularly beneficial to obtain **higher speed**

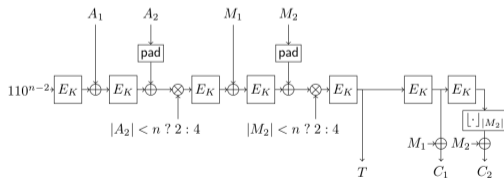
Some Relevant Features of AEAD Modes

High Rate

- Rate is defined as number of **message blocks** processed **per primitive invocation**
- Rate of an AEAD mode can be at most 1
- Constructions with **higher rate reduces latency**, and particularly beneficial to obtain **higher speed**



Rate: 1



Rate: 0.5

Some Relevant Features of AEAD Modes

Optimal (Primitive Invocation)

- Uses the **minimum** possible number of **non-linear invocations**
- The bound is given in [Chakraborti et al., JMC 18]:
 - Nonce based AEAD: $(a + m + 1)$
 - Deterministic: $(a + 2m)$
- Makes a construction **efficient** for **short messages** and reduces the latency

Some Relevant Features of AEAD Modes

Optimal (Primitive Invocation)

- Uses the **minimum** possible number of **non-linear invocations**
- The bound is given in [Chakraborti et al., JMC 18]:
 - Nonce based AEAD: $(a + m + 1)$
 - Deterministic: $(a + 2m)$
- Makes a construction **efficient** for **short messages** and reduces the latency

Efficient Static AD Processing

- In many scenarios, **AD remain static** over the course of a communications session
- Efficient static AD processing use a **pre-processed computed value** instead of whole computation

Some Relevant Features of AEAD Modes

Nonce Misuse Resistance

- Provides **security** even if **nonce** is **repeated**, or even without nonce
- Well suited for **lightweight applications** where storing counter or generating random number may be difficult to implement

Some Relevant Features of AEAD Modes

Nonce Misuse Resistance

- Provides **security** even if **nonce** is **repeated**, or even without nonce
- Well suited for **lightweight applications** where storing counter or generating random number may be difficult to implement

Integrity under RUP

- Verified Decryption: Plaintext is released after verification
- **Small buffer size** may force decryption algo to **release plaintext before verification**
- This gives an adversary additional power, which may be exploited for forging

Mode Classification

- Parallel Mode
- Feedback based Mode
- SIV Mode
- Sponge Mode
- Stream Cipher Mode

Parallel Modes

- Inputs of the block ciphers depend on the message and not on the previous block cipher outputs or cipher texts, hence **parallelization** in the computation between **distinct block cipher calls**
- Typically Used in **low-latency** scenarios as well as for obtaining good performance from both **high-speed** hardware and commodity processors
- The parallel design allows to efficiently process subsequent message blocks exploiting the **CPU pipeline** and **multi-threading** techniques

Parallel Modes: Design Principle

- The design principles follow the **ECB** structure
- To ensure (i) privacy within a message and (ii) privacy within two messages, some **additional masking state** depending on the value of the nonce and the block number

Parallel Modes: Design Principle

Typical Choices:

- **Xor-Encrypt-Xor** paradigm
- **tweakable block ciphers** with tweak defined as a pair (nonce, block number)

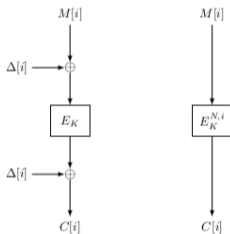
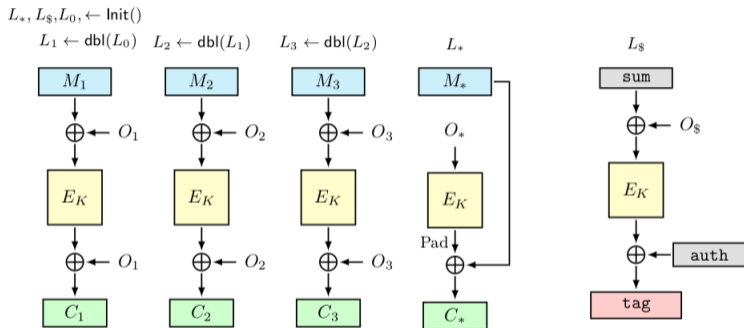


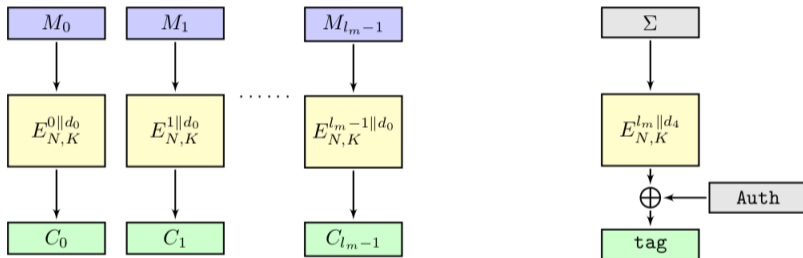
Figure: Parallel Mode of Encryption: (a) OCB, (b) Θ CB

Example1: Pyjamask (OCB Style Encryption)



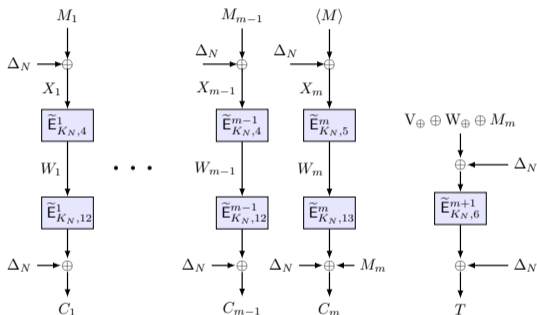
- Block Cipher based, Parallel, Online, Rate-1
- Birthday bound secure, No RUP Security

Example2: Skinny AEAD (Θ CB Style Encryption)



- Tweakable Block Cipher based, Parallel, Online, Rate-1
- Birthday bound secure, No RUP Security

Example3: LOCUS-AEAD (OCB Style with Intermediate checksum)

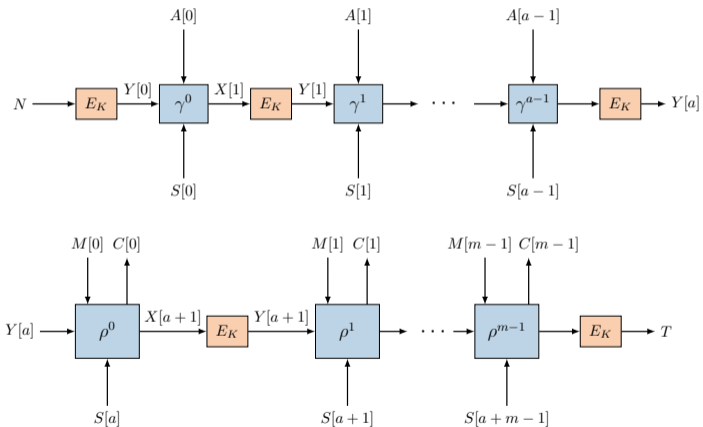


- Short-tweak TBC based, **Parallel**, **Online**
- Nonce-derived key for **full security**, Intermediate checksum to achieve **RUP security**

Feedback based Modes

- One of the most popular method of constructing **area-efficient** block cipher based AE
- Uses an **affine function** that takes a **block cipher output** and a **plain text** block to produce the corresponding cipher text block and an updated state which is used as the next block cipher input
- **Reduce** the **state memory**, at the cost of losing parallelizability
- Typically **inverse-free** and area-efficient for combined enc-dec implementations

Basic Structure of the Mode



Types of Feedback

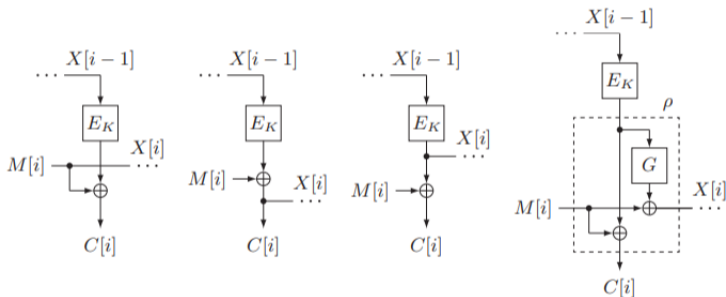


Figure: Hybrid Feedback functions: (a) PFB, (b) CFB, (c) OFB, (d) CoFB

Types of Hybrid Feedback

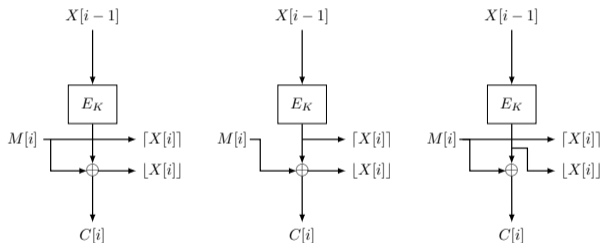


Figure: (a) PFB+CFB, (b) OFB+CFB, (c) OFB+PFB

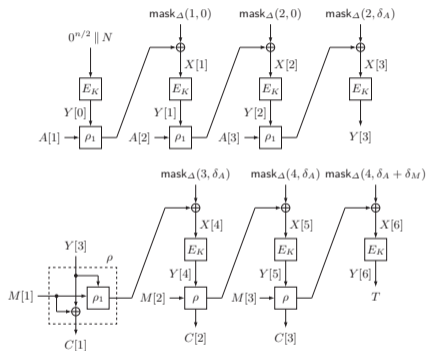
Investigating the Security of Rate-1 Feedback based AE

Encryption	Decryption	Additional states to achieve Security
PFB	CFB	n -bits
CFB	PFB	-
OFB	OFB	-
CoFB	CoFB	$n/2$ -bits
HyFB (CFB+PFB)	HyFB (PFB+CFB)	$n/2$ -bits
HyFB (CFB+OFB)	HyFB (PFB+OFB)	-
HyFB (PFB+OFB)	HyFB (CFB+OFB)	-

From Combined to Hybrid: Making Feedback-based AE Even Smaller [Chakraborti et al., ToSC 2020]

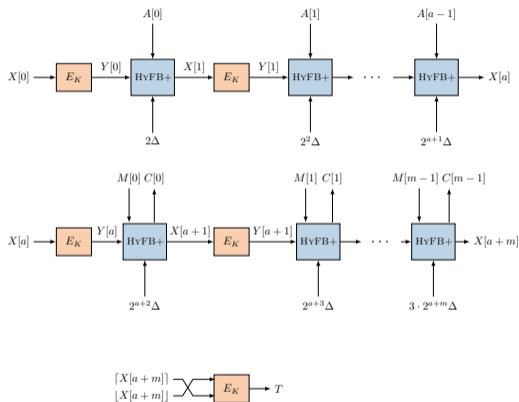
For any rate-1 feedback-based AE with additional state of τ -bits, there is an adversary that breaks the construction with query complexity 2^τ

Example1: COFB (A Mode with Combined Feedback)



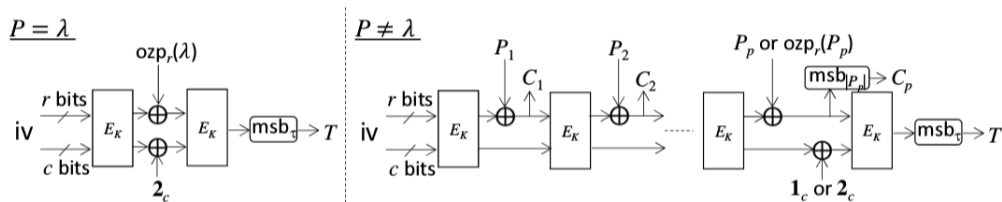
- Inverse-free, Rate-1, Efficient AD Processing
- State size: $1.5n$ -bit (optimal for rate-1), XOR: $2n$ -bit

Example2: HyENA (A Mode with Hybrid Feedback)



- Inverse-free, Rate-1, Efficient AD Processing
- State size: $1.5n$ -bit (optimal for rate-1), XOR: n -bit

Example3: SAEAES (A Block-cipher based Sponge Variant)



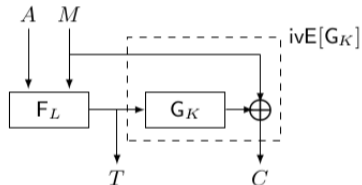
- Inverse-free, Rate-1/2, Efficient AD Processing
- State size: n -bit (optimal irrespective of rate)

SIV based Modes

- Provide **maximal robustness** to a **lack of proper randomness** or secure state
- Follows **MAC-then-Encrypt** structure, and hence **two pass** mode
- Typically obtain **single-state** implementation
- Excellent choice for **short message processing**

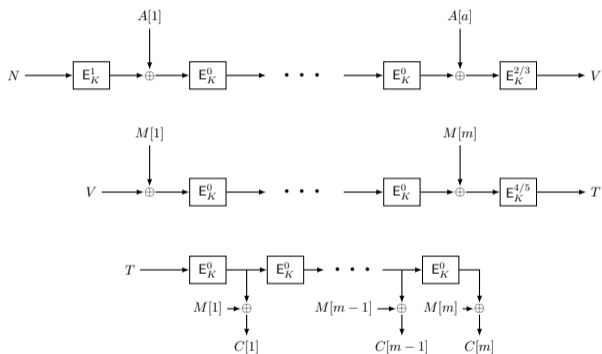
Design Principle

- Follow the **MAC-then-ENCRYPT** structure



- A typical choice is **CBC**-type MAC (single-state implementation) follows by **OTP** or **OFB** with the tag as IV

Example2: ESTATE

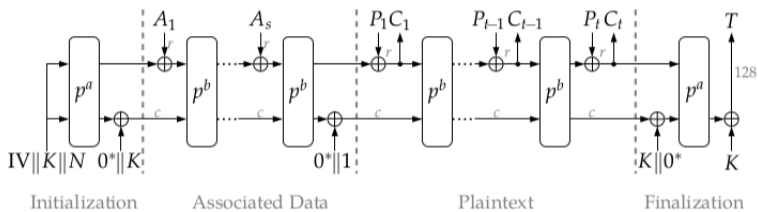


- Single-state, Inverse-free, efficient for short messages
- Use tBC to ensure RUP security, optimal block-cipher invocations

Sponge Modes

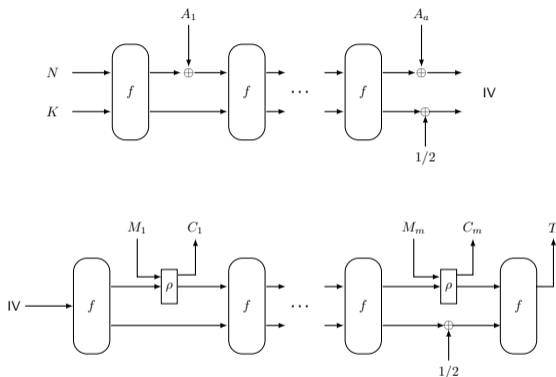
- Use **public permutation** instead of keyed permutation
- Employs **duplex** mode of operation - absorbs the data and then squeeze the ciphertext
- Has the advantage of key agility: no key-scheduling

Example1: ASCON (Simple Duplex type Sponge Mode)



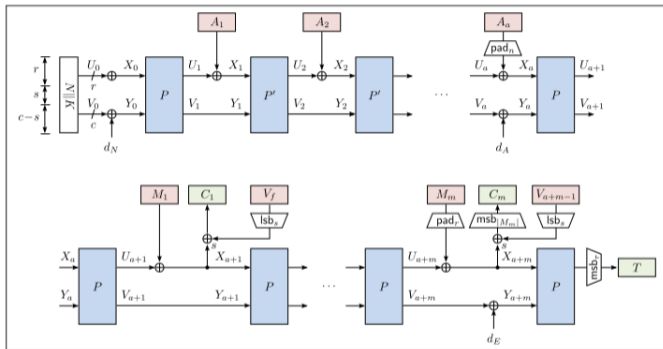
- Use simple duplex-sponge mode of operation with sponge **rate 64-bit** and sponge **capacity 256-bit**
- Achieves **security** of **128-bit**

Example2: PHOTON-Beetle (Duplex Sponge Mode with Feedback)



- Uses duplex-sponge mode with a **feedback function ρ** with sponge **rate 128-bit** and sponge **capacity 128-bit**
- ρ plays the key role to achieve **121-bit security** keeping the sponge capacity to 128-bit

Example3: Oribatida (Sponge with Ciphertext Masking)

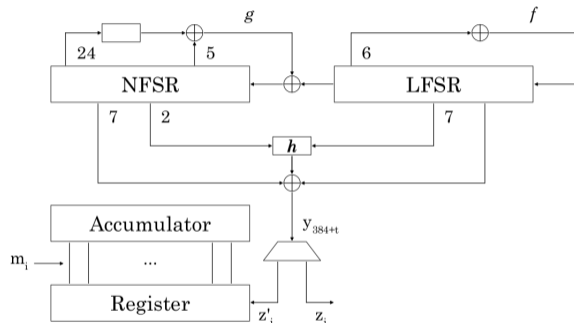


- A sponge with sponge **rate 128-bit** and sponge **capacity 128-bit** with 64-bit **ciphertext masking**
- The masking **boosts the security** and ensures resilience in **RUP** settings

Stream Cipher Modes

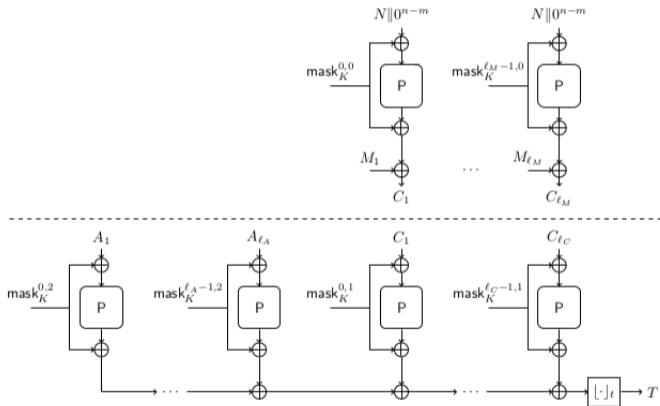
- Use a **stream-cipher** encryption as the basic mode
- Used to design **fast** and **energy-efficient** AEAD
- Typically, no additional states are reserved, and hence **area-efficient**
- Excellent choice for **long messages**

Example1: Grain AEAD



- Adopts the design of Grain-128 and Grain v1 and extends it for authentication

Example2: Elephant



- Use **public-permutation** to generate the key stream

Classification of NIST Round-2 Candidates

- **Parallel Mode:** LOTUS-AEAD and LOCUS-AEAD, PAEF (ForkAE), Pyjamask, SKINNY-AEAD
- **Feedback based Mode:** Comet, GIFT-COFB, HyENA, mixFeed, Romulus-N, SAEAES, SAEF (ForkAE), TinyJAMBU
- **SIV Mode:** ESTATE, Romulus-M, Sundae-GIFT
- **Sponge Mode:** ACE, Ascon, DryGASCON, Gimli, ISAP, KNOT, Orange, Oribatida, PHOTON-Beetle, Sparkle, Spix, Spoc, Spook, Subterrain, Wage, Xoodoo
- **Stream Cipher Mode:** Elephant, Grain-128 AEAD, Saturnin

Summary

- **Authenticated Encryption** - Motivation, Basic Idea

Summary

- **Authenticated Encryption** - Motivation, Basic Idea
- **Lightweight Cryptography** - Motivation

Summary

- **Authenticated Encryption** - Motivation, Basic Idea
- **Lightweight Cryptography** - Motivation
- **NIST LwC Project** - Timeline and Progress

Summary

- **Authenticated Encryption** - Motivation, Basic Idea
- **Lightweight Cryptography** - Motivation
- **NIST LwC Project** - Timeline and Progress
- **Classification of AEAD Modes** based on Design

Thank You..!!! Questions???

Light-Weight Cipher Design Challenge 2020



National Centre of Excellence
for Cybersecurity Technology
Development & Entrepreneurship

DSCI
A NASSCOM Initiative

Ministry of Electronics &
Information Technology
Government of India

National Centre of Excellence
for Cybersecurity Technology
Development & Entrepreneurship

INDIAN STATISTICAL INSTITUTE
R. C. BOSE Centre for Cryptology and Security

ANNOUNCES

LIGHT-WEIGHT CIPHER DESIGN CHALLENGE 2020

Registration Opens: 1st Week of September

Submit Your Comments & Queries
Accepting queries till end of Aug

Prizes worth 7.5 Lacs to be Won

Eligibility:	Students: Open to all disciplines	Employed: Professionals with Bachelors Degree in 2017 or later	Startups: Founders from early-stage start-ups	Researchers: Researchers from any discipline
---------------------	---	--	---	--

Link: <https://www.dsci.in/ncoe-light-weight-cipher-design-challenge-2020/>